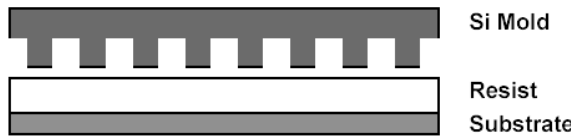


Photolithography vs. Soft Lithography



Hard Nanoimprinting Lithography

NIL Process



(1) Press in mold



(2) Heat up mold and substrate



(3) Mold separation after cooling



(4) O₂ RIE



Nanonex NX-2000 Nanoimprinter

Up to 4" wafer

Sub-100 nm resolution

Up to 300°C, 600 psi, UV

- Sub-10nm feature size
- Large area (4-8 in.)
- High throughput
- Low cost

"Soft" Lithography



George M. Whitesides
<http://gmwgroup.harvard.edu/>
Father of Soft Lithography

Reviews:

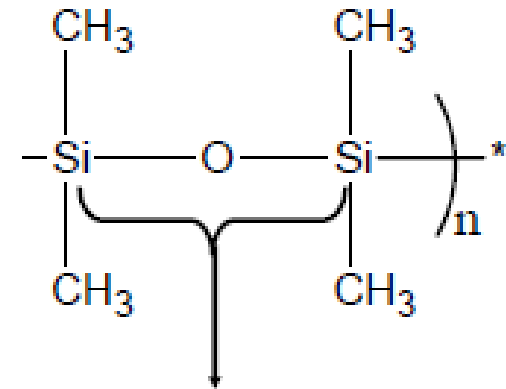
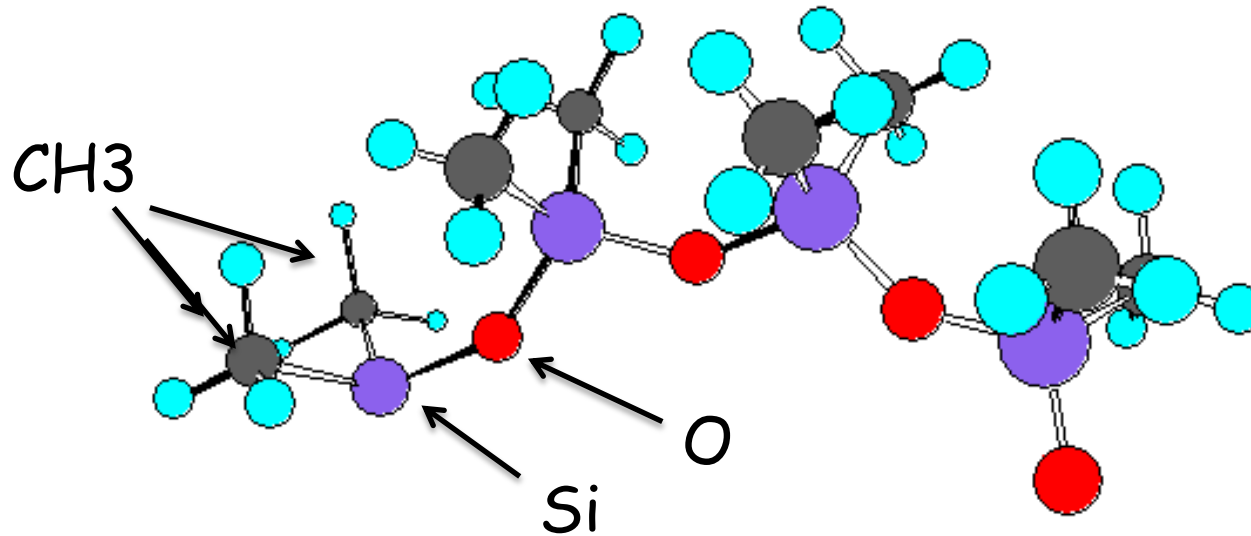
1. Whitesides, G. M., *Angew. Chem. Int. Ed.* (1998).
2. Whitesides, G. M., *Chem. Rev.* (1999).

- Low-cost, non-lithographic method to complement photolithography.
- Use a patterned elastomer such as poly(dimethylsiloxane) (PDMS) as a **mask, stamp** or **mold**.
- Embrace chemical concepts of self-assembly, templating and crystal engineering, with soft lithographic techniques of microcontact printing and micromolding.
- Shape materials over different length scales from 1 nm to 500 μ m.
- Pattern two- and three-dimensional structures on planar and curved surfaces.
- Important in MEMS and biological applications.



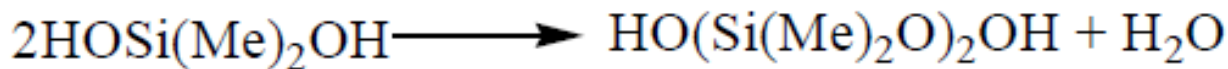
The Core Material of Soft Lithography

Poly(dimethylsiloxane) (PDMS)



Very bendable
(135° - 180°)

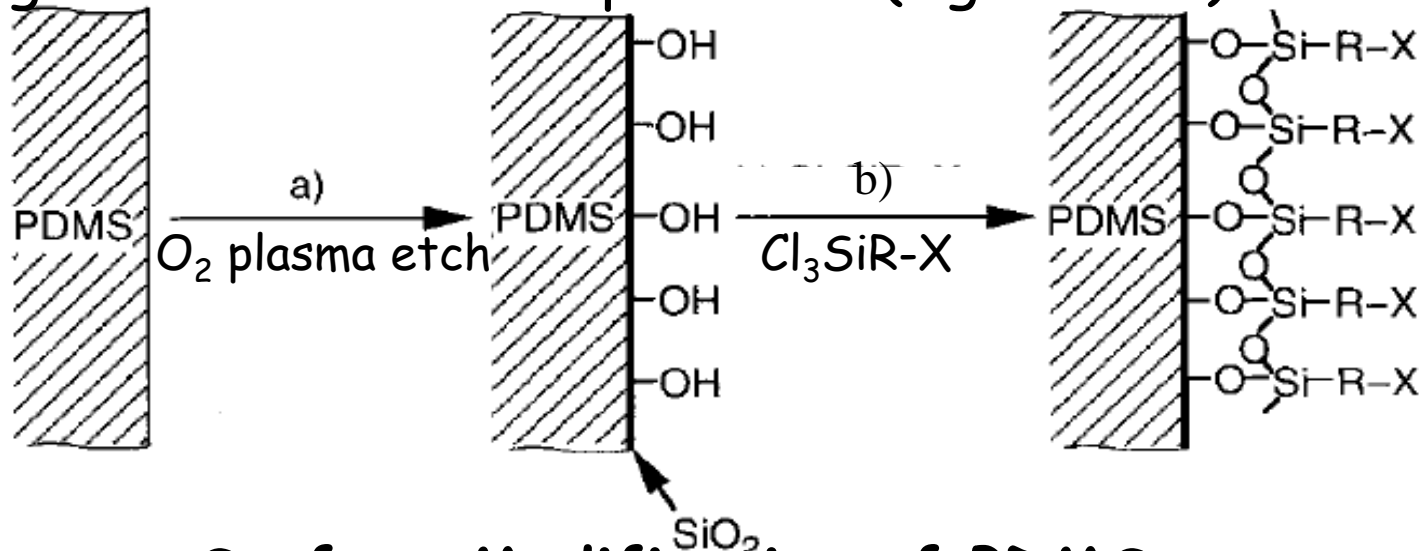
Polycondensation Reaction:



Unique Properties of PDMS

Unique Properties:

- High flexibility and optically transparent
- High gas permeability
- Low surface energy ($21.6 \times 10^{-3} \text{ J/m}^2$)
- Conformal contact to almost all surfaces
- Low glass transition temperature ($T_g \sim 146\text{K}$)

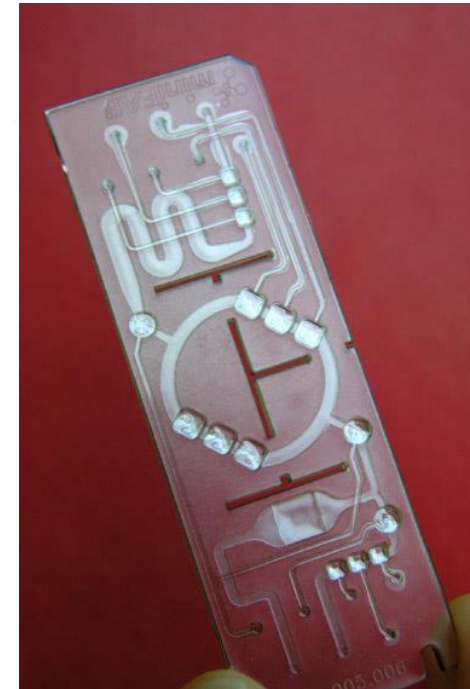
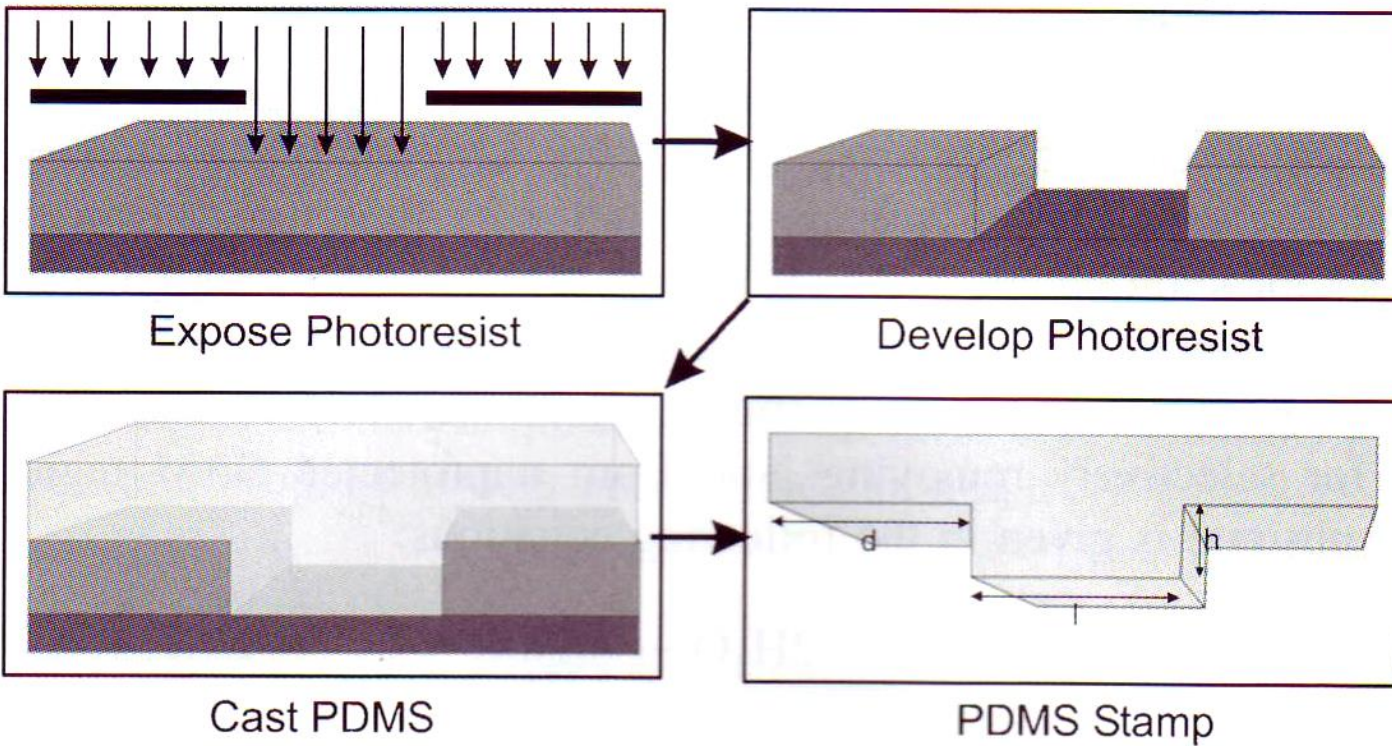


Surface Modification of PDMS



The Science of Soft Lithography

$\text{CF}_3(\text{CF}_2)_6(\text{CH}_2)_2\text{SiCl}_3$ treatment



- h : 0.2-20 μm
- d : 0.5-200 μm
- l : 0.5-200 μm

Deformation/Distortion of Relief Structure

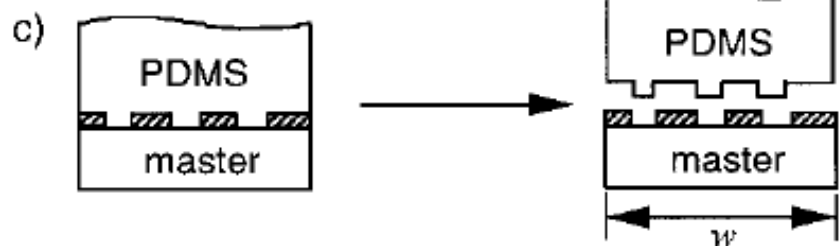
Pairing



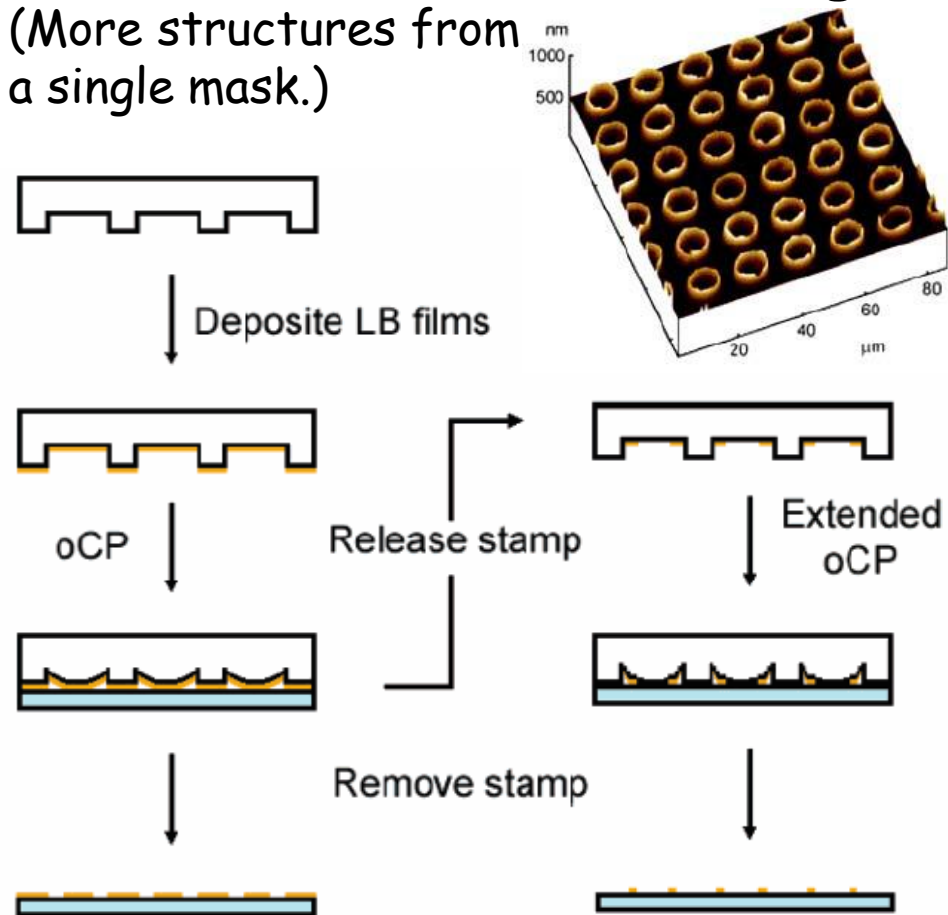
Sagging



Shrinking



Sometimes, deformation is good.
(More structures from a single mask.)



(*Nano Lett.* 4, 1657, 2004) ⁷

Five Components of Soft Lithography

Soft Lithography

- Microcontact printing (μ CP)
- Replica molding (REM)
- Microtransfer molding (μ TM)
- Micromolding in capillaries (MIMIC)
- Solvent-assisted micromolding (SAMIM)

Resolution

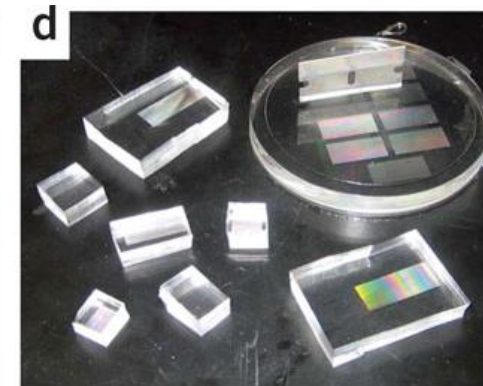
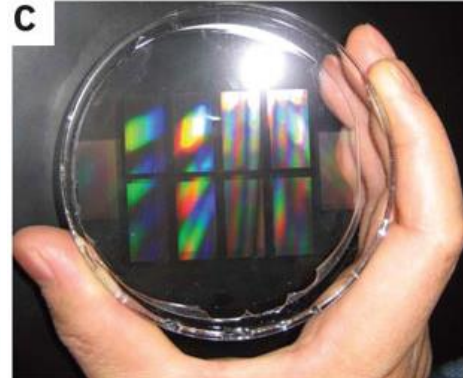
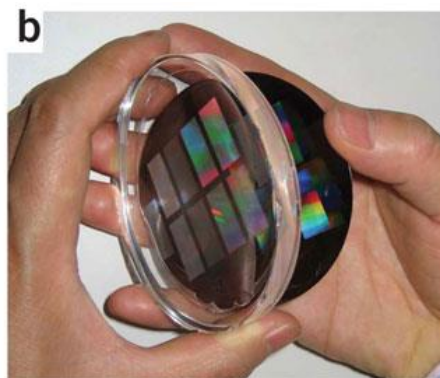
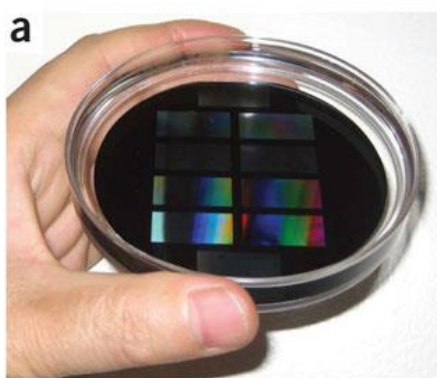
35 nm

\sim 2 nm

1 μ m

1 μ m

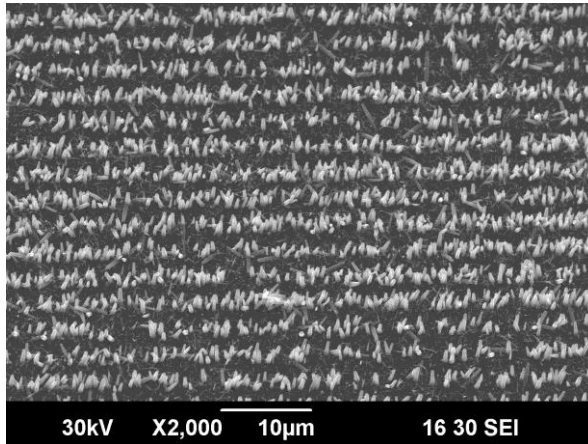
60 nm



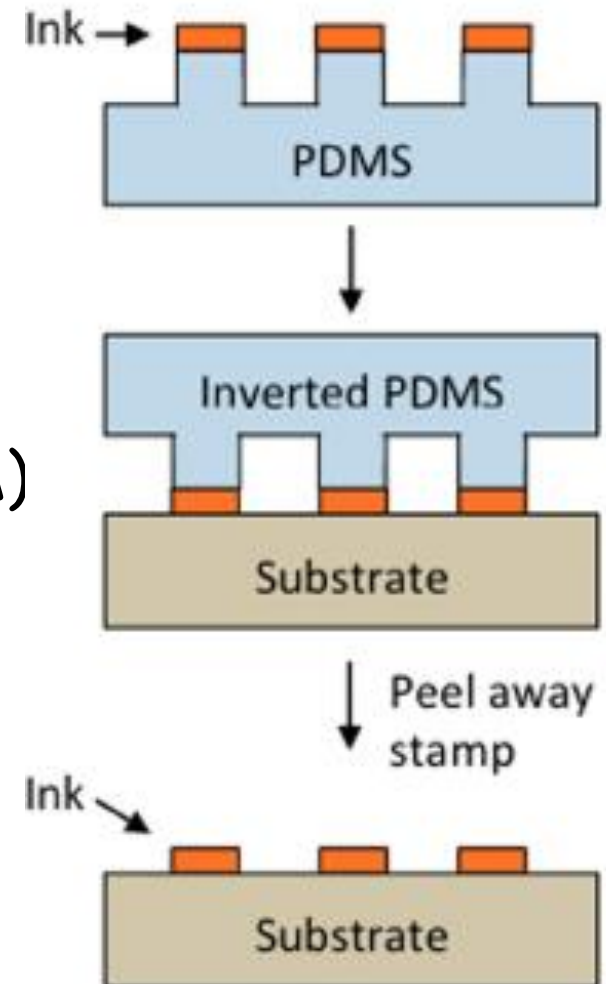
Five Components of Soft Lithography

Soft Lithography

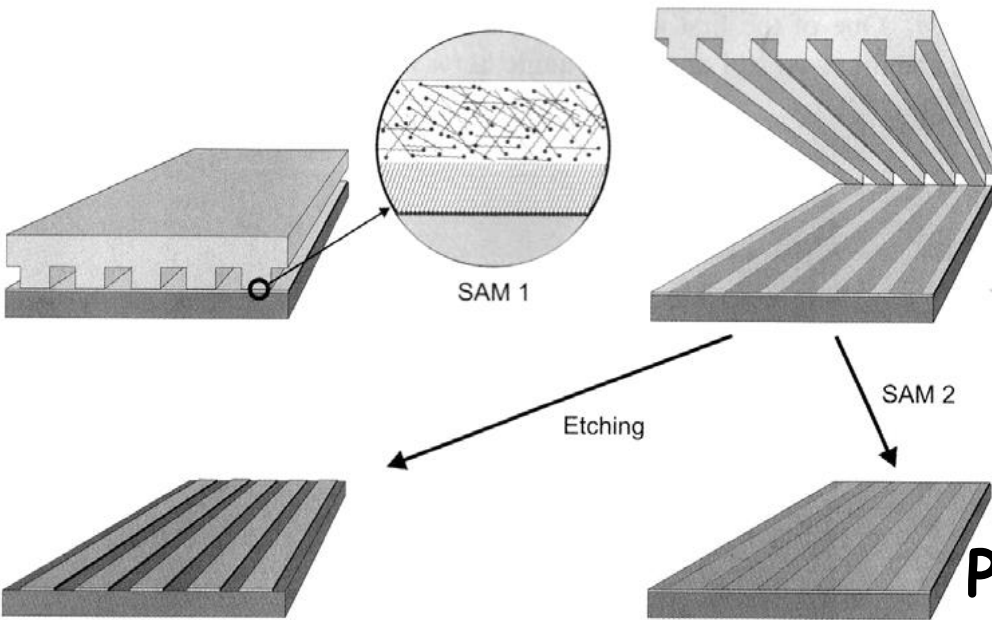
- **Microcontact printing (μ CP)**
- Replica molding (REM)
- Microtransfer molding (μ TM)
- Micromolding in capillaries (MIMIC)
- Solvent-assisted micromolding (SAMIM)



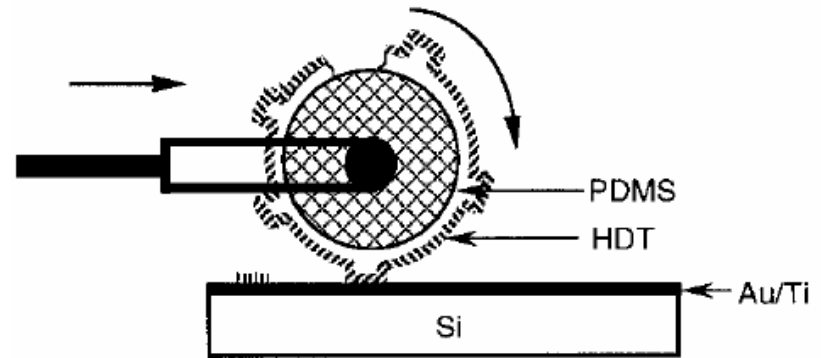
Patterned ZnO Nanowires



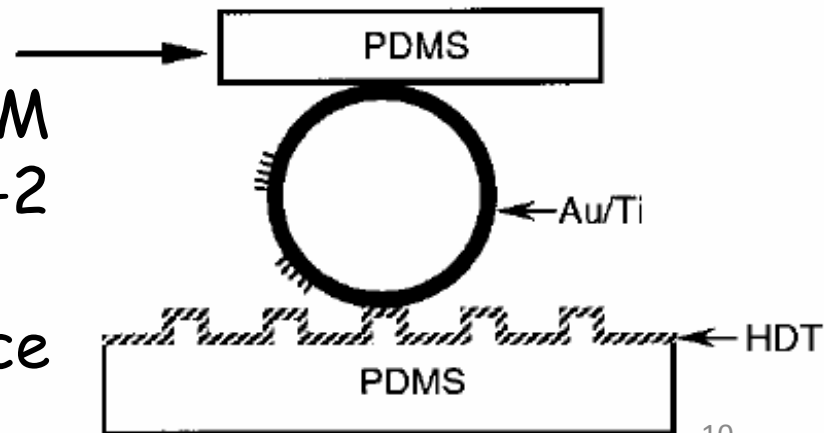
Principles of Microcontact Printing



Roll-to-Roll Printing



Printing on Nonplanar Surface

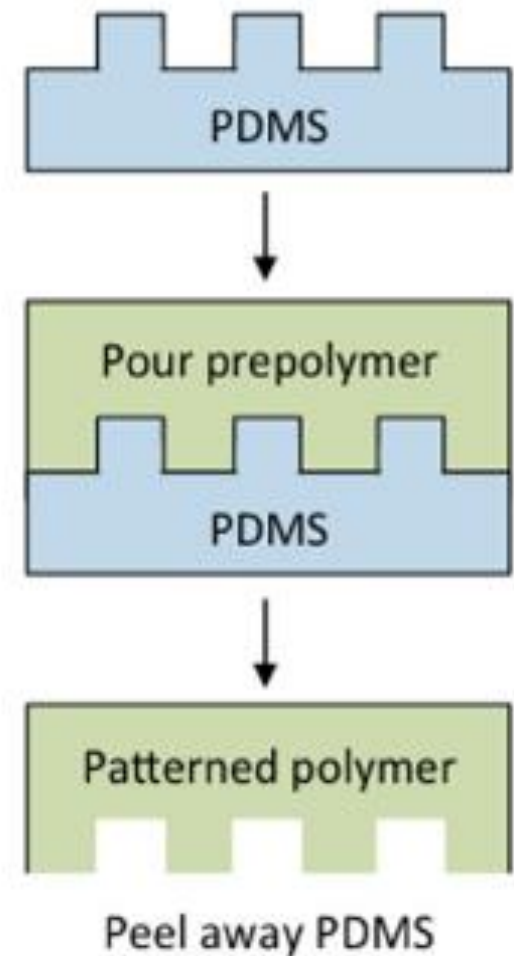
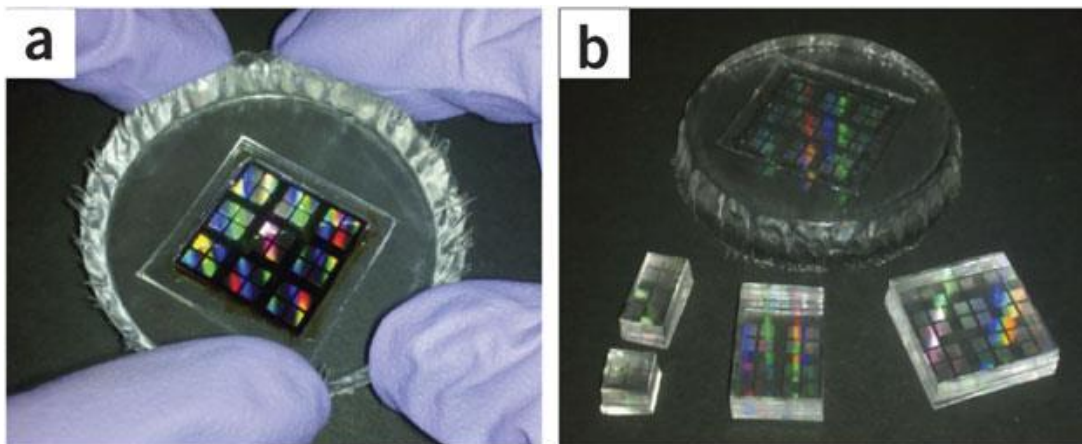


- Dip PDMS stamp in ~ 2 mM alkanethiol ethanol solution for 1-2 minutes.
- Press PDMS stamp on gold surface for 10-20s.

Five Components of Soft Lithography

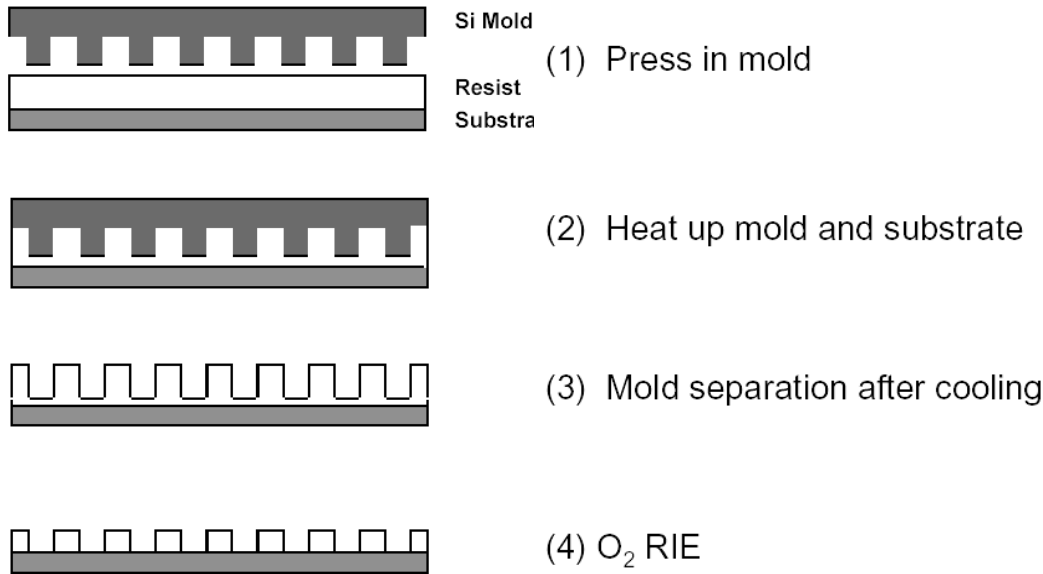
Soft Lithography

- Microcontact printing (μ CP)
- **Replica molding (REM)**
- Microtransfer molding (μ TM)
- Micromolding in capillaries (MIMIC)
- Solvent-assisted micromolding (SAMIM)

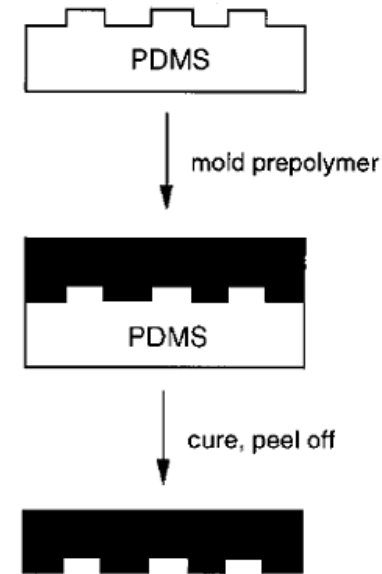


"Soft" Replica Molding

"Rigid-Mask" Molding



"Soft-Mask" Molding

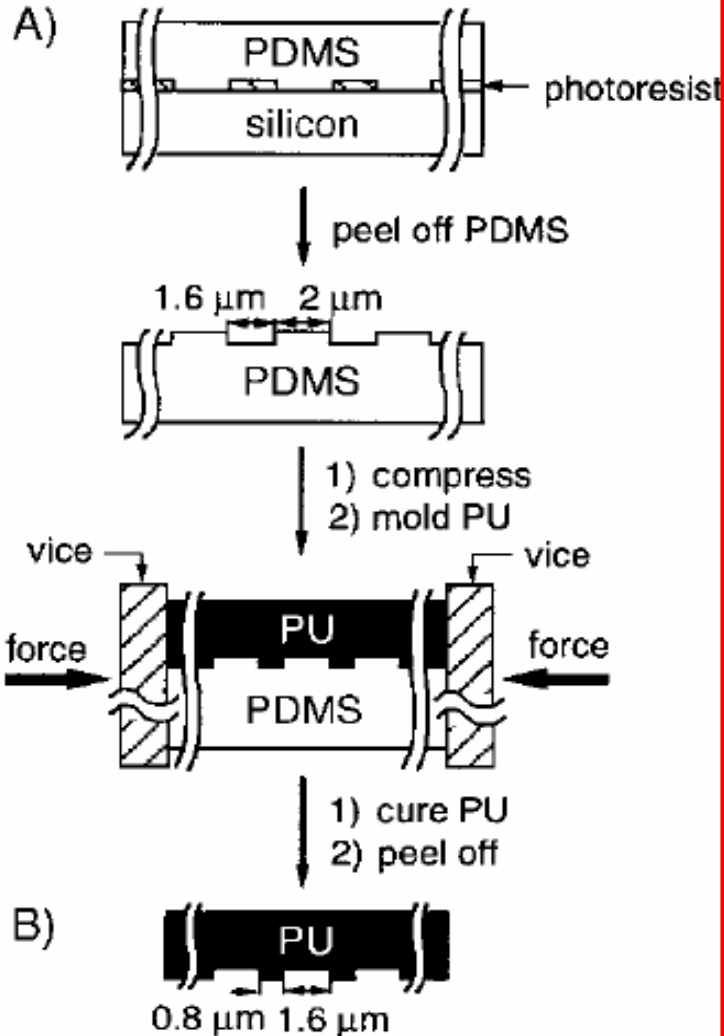


Advantages of soft-mask molding:

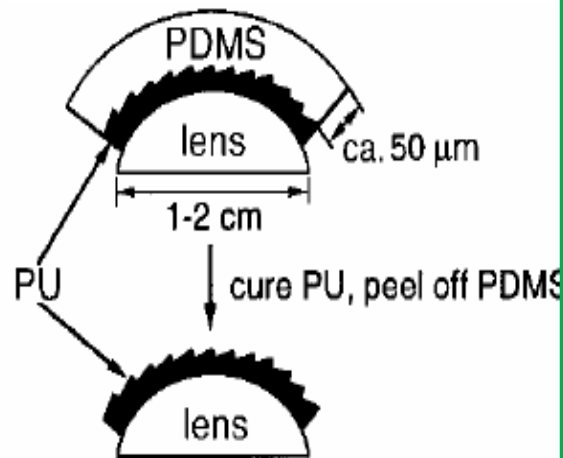
- The elasticity and low surface energy of the elastomeric PDMS mold allows it to be **released easily**.
- PDMS mold also enables manipulating the size and shape of features present on the **mold by mechanical deformation**.

Manipulating PDMS Molds

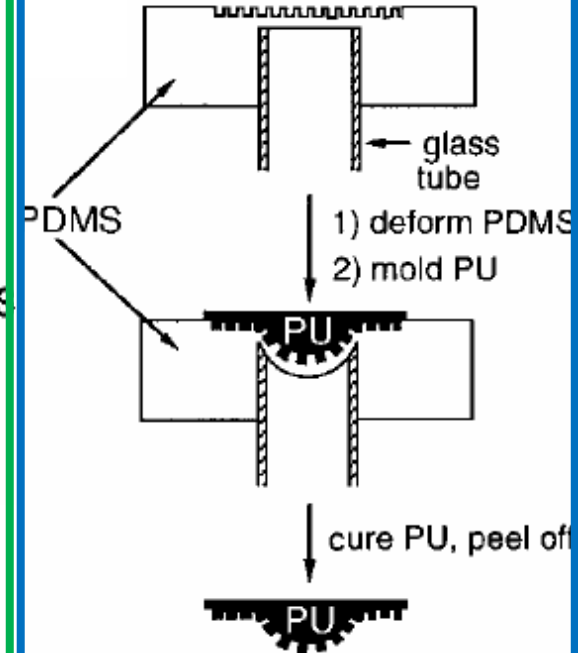
Mechanical Compression



Bending



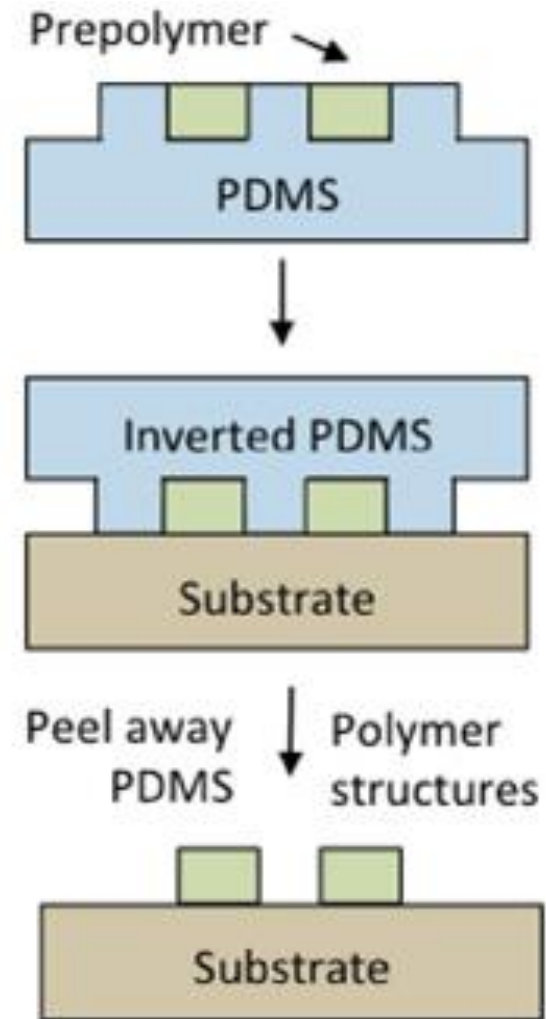
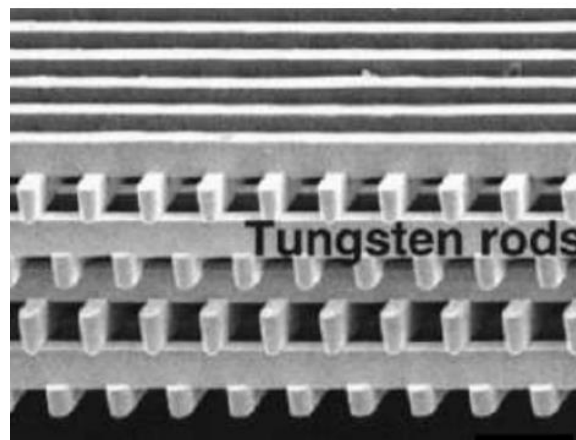
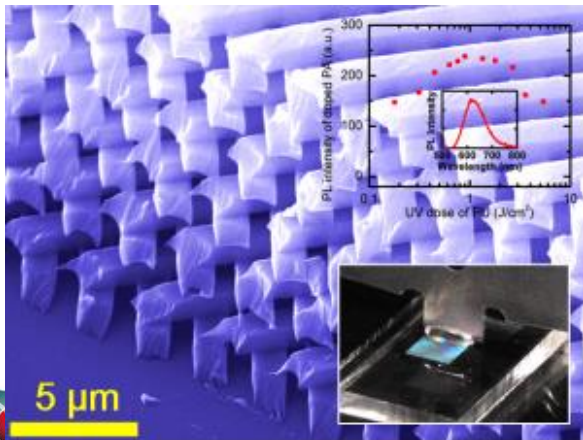
Stretching



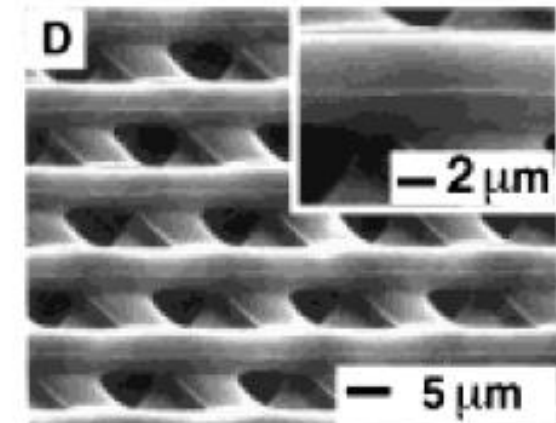
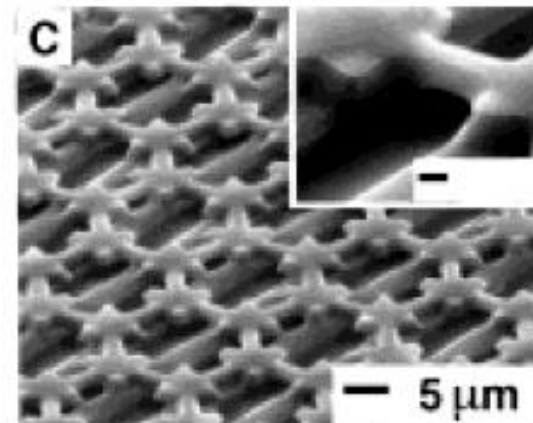
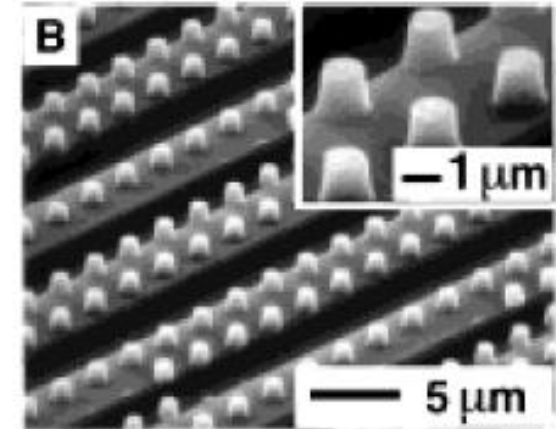
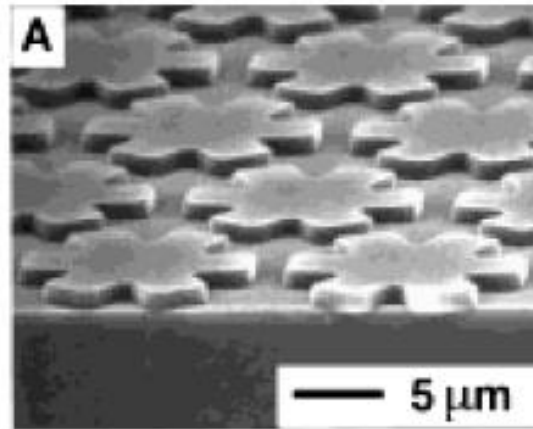
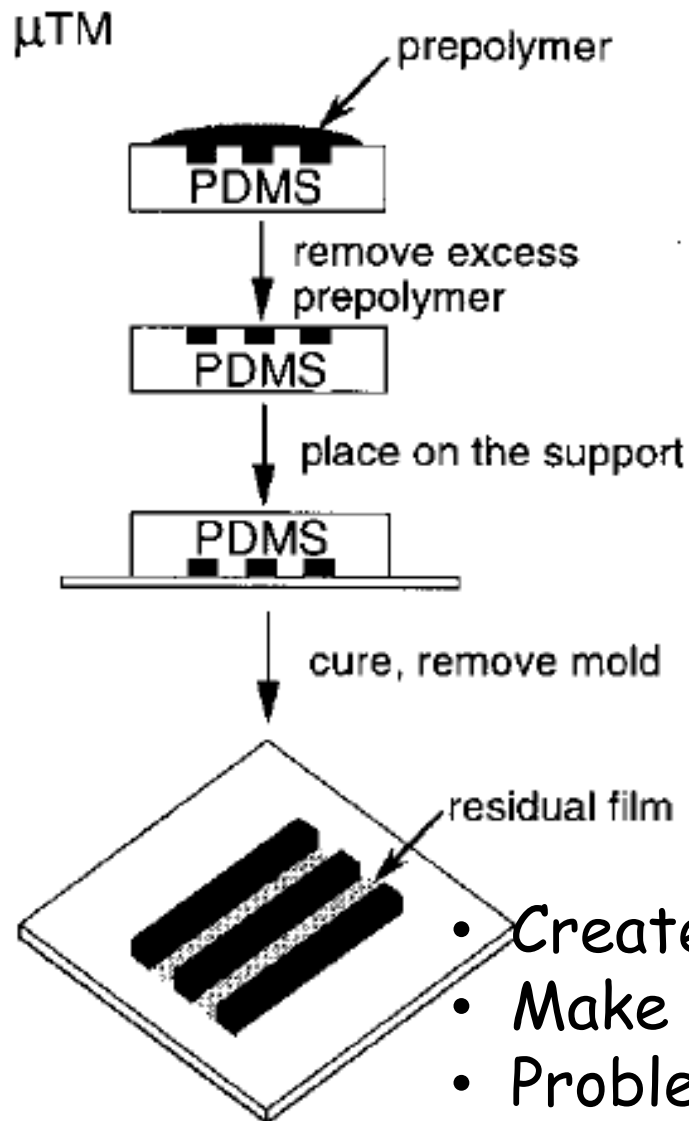
Five Components of Soft Lithography

Soft Lithography

- Microcontact printing (μ CP)
- Replica molding (REM)
- **Microtransfer molding (μ TM)**
- Micromolding in capillaries (MIMIC)
- Solvent-assisted micromolding (SAMIM)



Microtransfer Molding (μ TM)

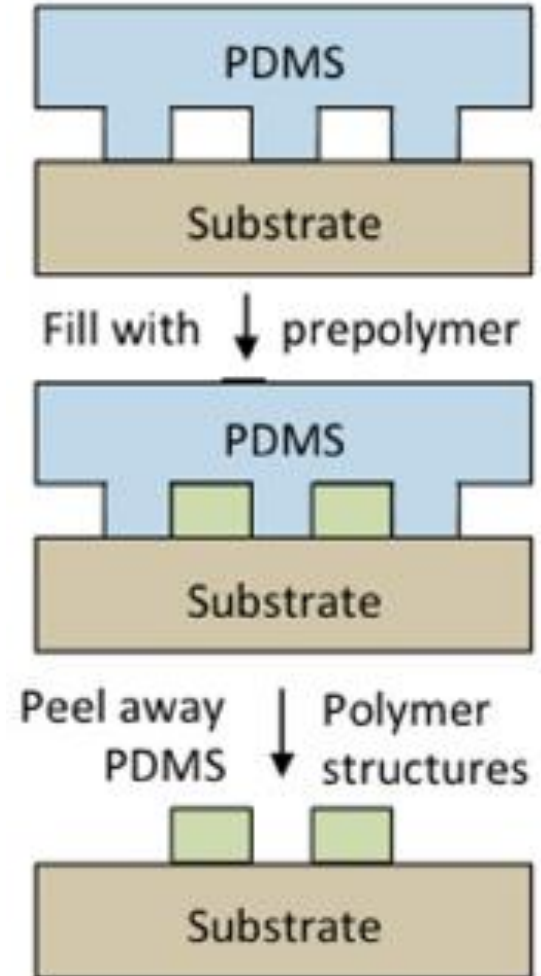
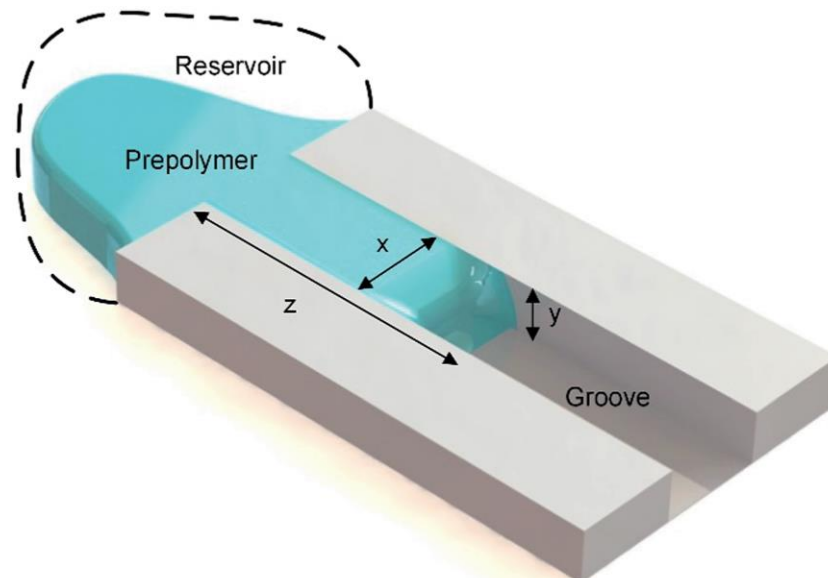


- Create microstructures on nonplanar surfaces.
- Make three-dimensional microstructures.
- Problem: residual film.

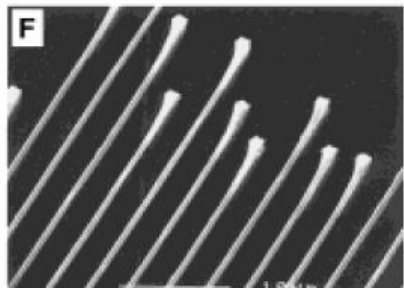
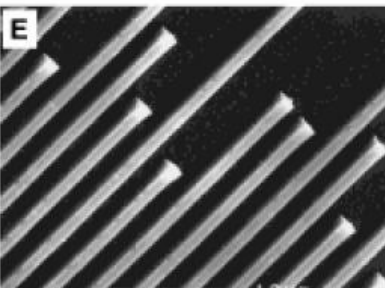
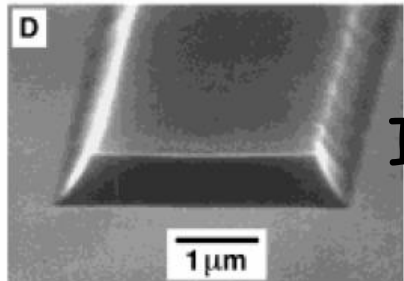
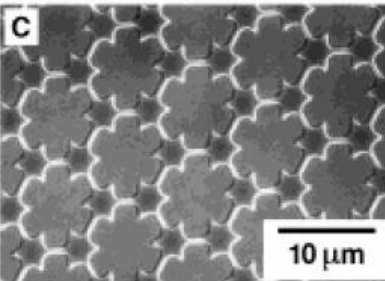
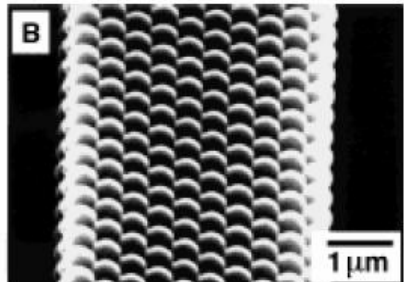
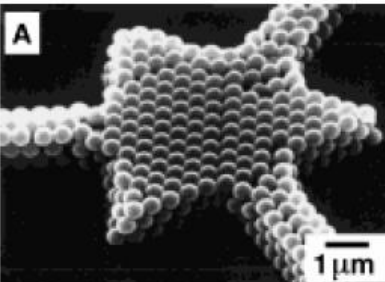
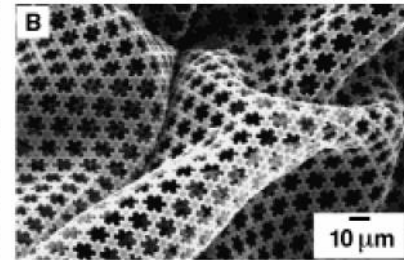
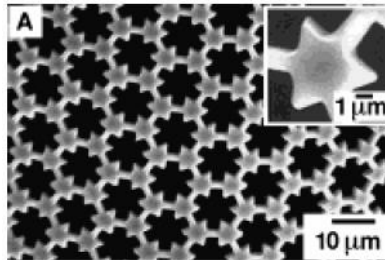
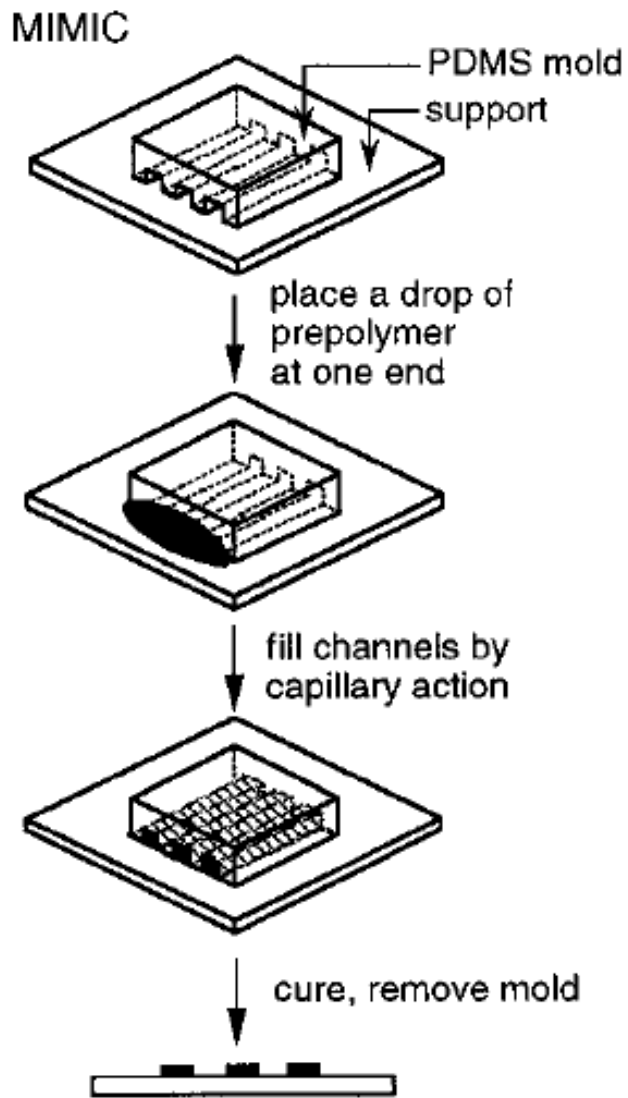
Five Components of Soft Lithography

Soft Lithography

- Microcontact printing (μ CP)
- Replica molding (REM)
- Microtransfer molding (μ TM)
- **Micromolding in capillaries (MIMIC)**
- Solvent-assisted micromolding (SAMIM)



Micromolding in Capillaries (MIMIC)



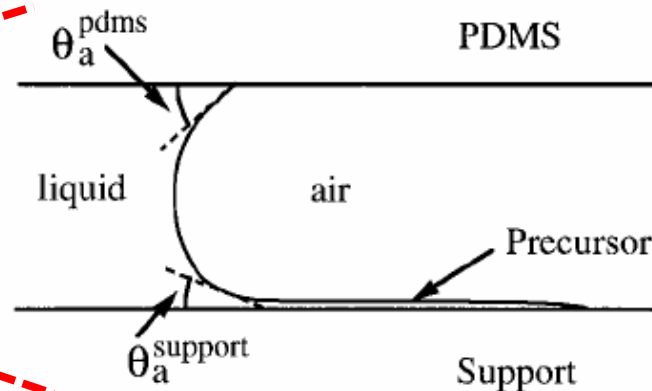
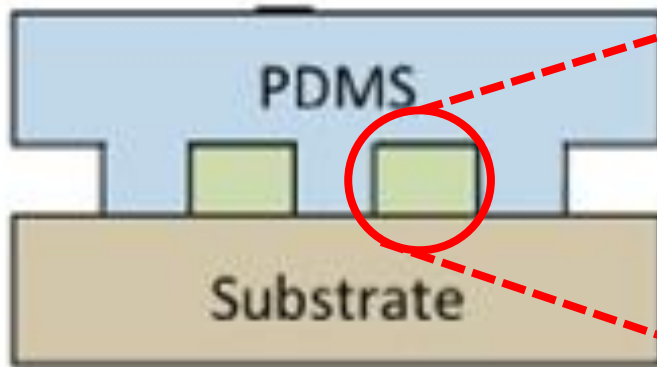
PMMA

Colloids

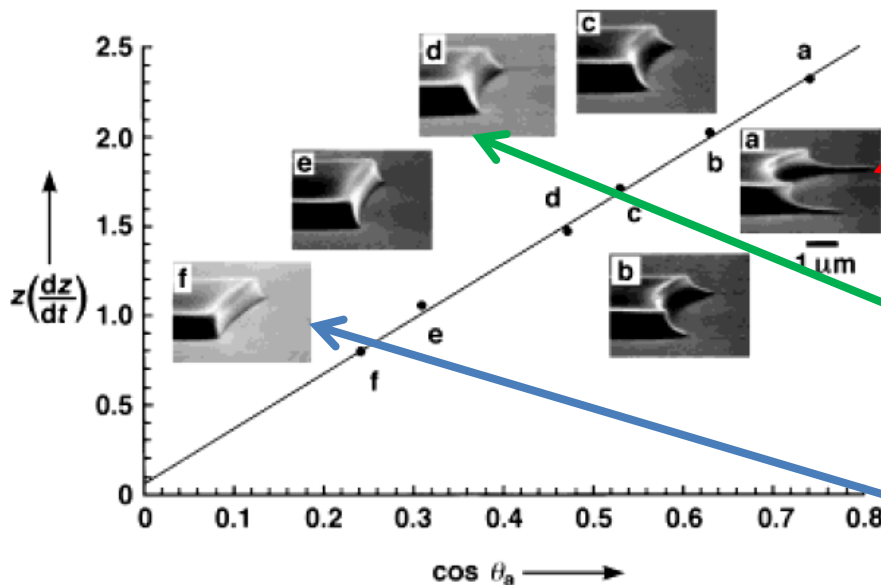
Inorganic salt

ZrO₂

Principles of Micromolding in Capillaries



most always
 $\theta_a^{pdms} > \theta_a^{support}$



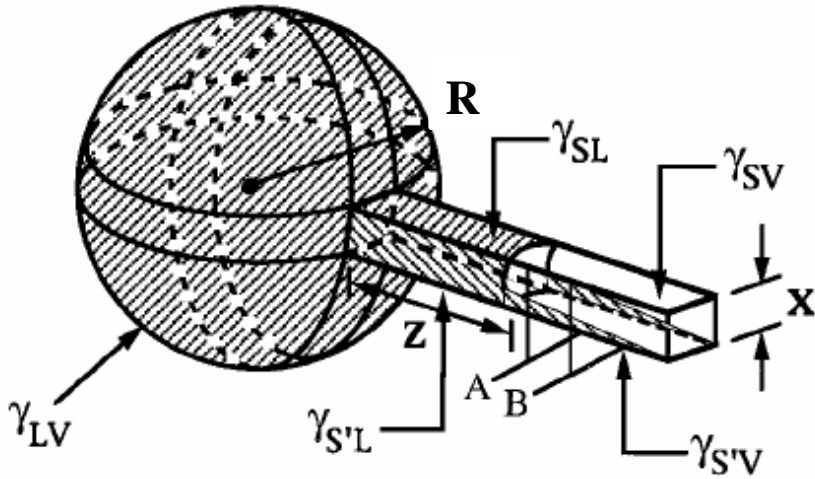
• Small $\theta_a^{support}$: slipping film.

• Intermediate $\theta_a^{support}$: slipping films with shoulders.

• Large $\theta_a^{support}$: wedge flow

Gold substrate with different prepolymers

Rate of Capillary Filling



Rate of capillary filling:

Hydraulic radius

contact angle

$$\frac{dz}{dt} = \frac{R\gamma_{LV} \cos \theta}{4\eta z} = \frac{R(\gamma_{SV} - \gamma_{SL})}{4\eta z}$$

viscosity

interfacial
free energy

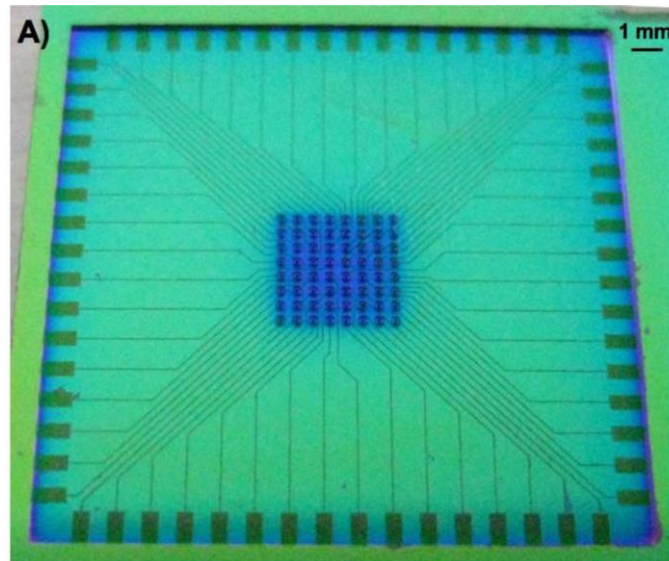
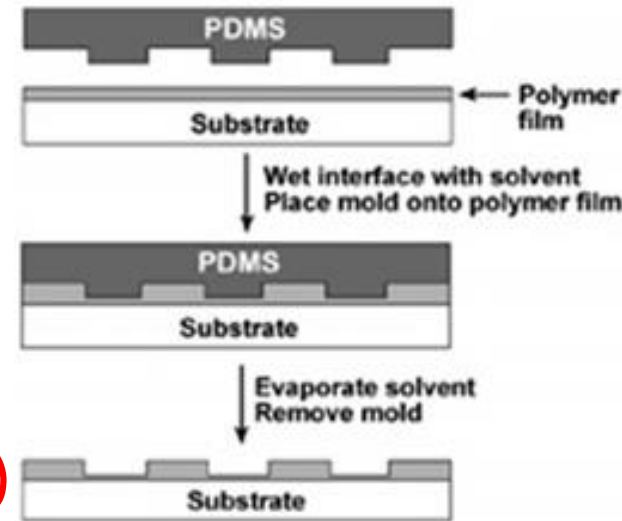
Square capillary: $R = x^2 z / (4xz)$



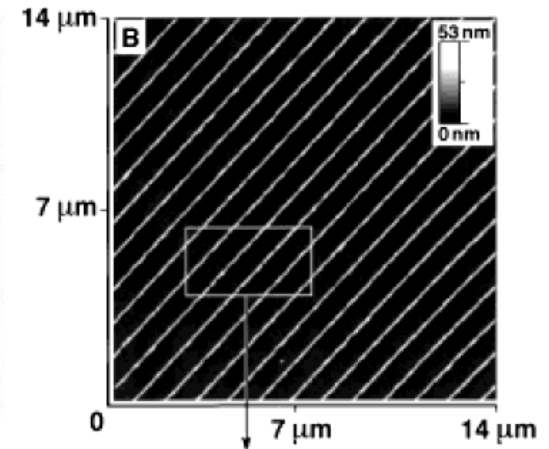
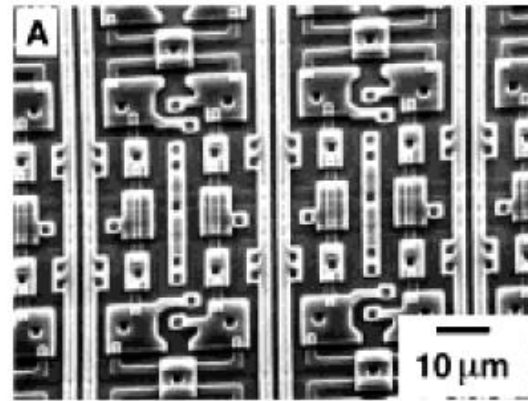
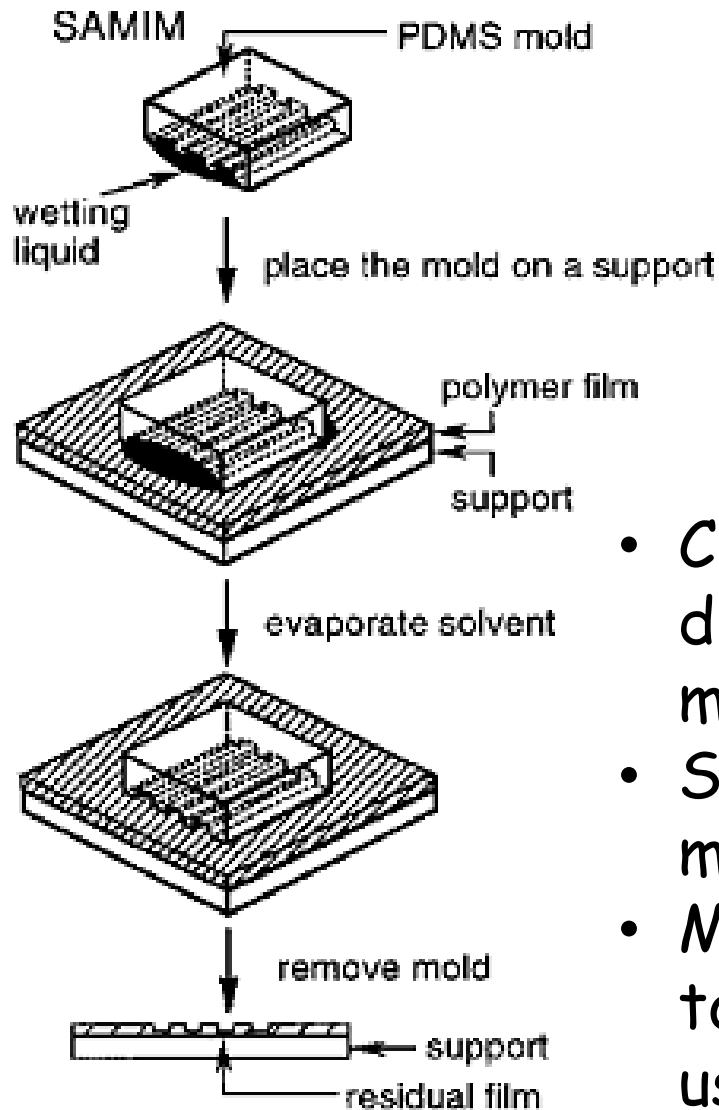
Five Components of Soft Lithography

Soft Lithography

- Microcontact printing (μ CP)
- Replica molding (REM)
- Microtransfer molding (μ TM)
- Micromolding in capillaries (MIMIC)
- Solvent-assisted micromolding (SAMIM)

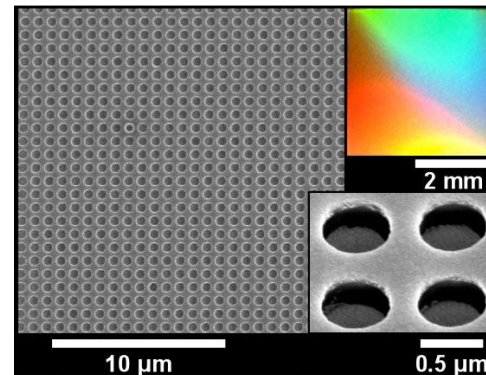
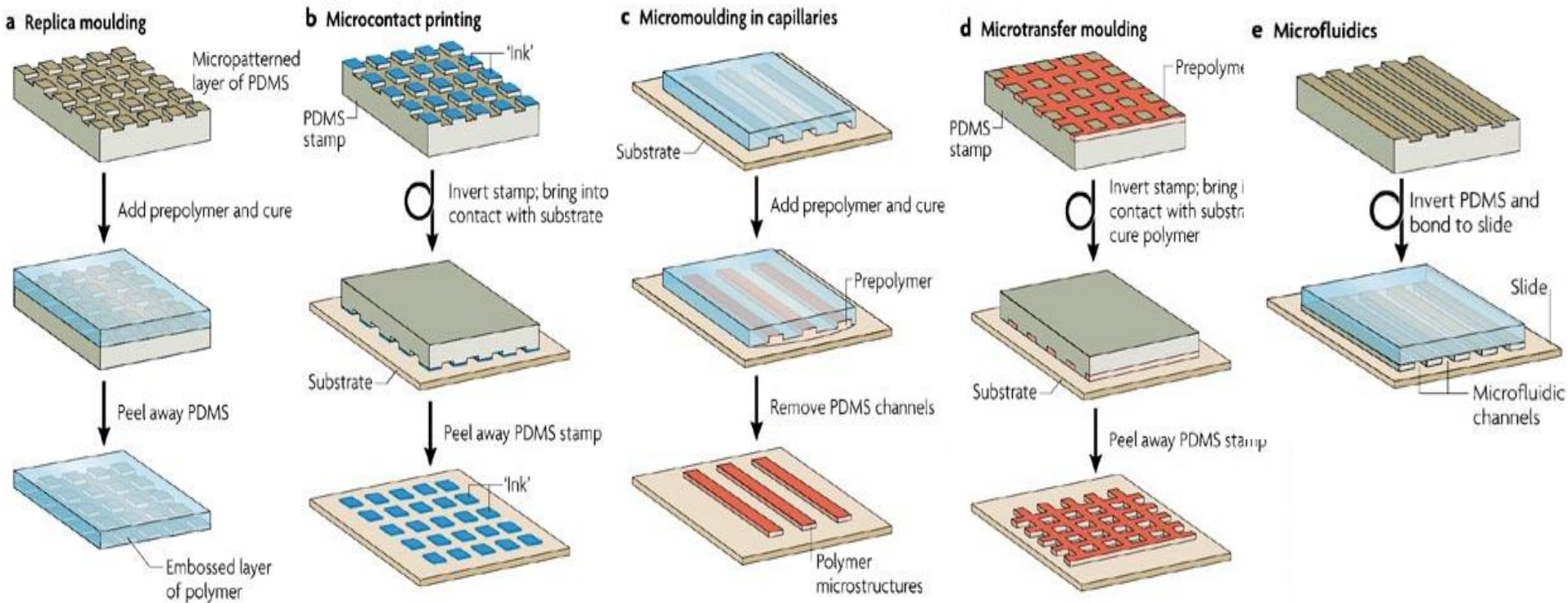


Solvent-Assisted Micromolding (SAMIM)

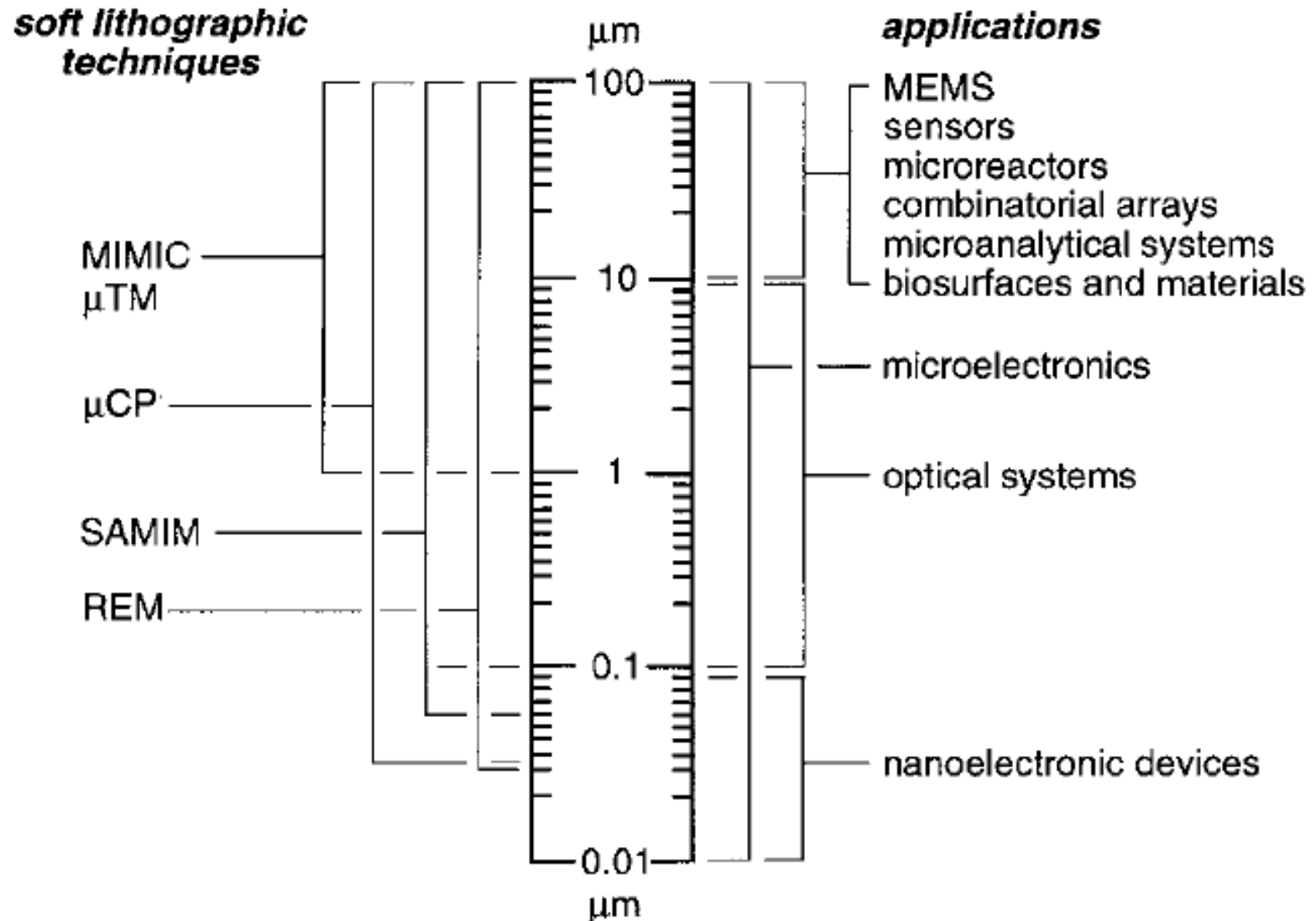


- Choice of solvent is critical - rapidly dissolve or swell polymer, but not PDMS mold.
- Solvent - high vapor pressure and a moderately high surface tension.
- Methanol, ethanol, acetone are good; toluene and dichloromethane can not be used.

Five Components of Soft Lithography



Applications of Soft Lithography



Photolithography vs. Soft Lithography

	Photolithography	Soft Lithography
Definition of patterns	Rigid photomask (patterned Cr supported on a quartz plate)	Elastomeric stamp or mold (a PDMS block patterned With relief features)
Materials that can be patterned directly	Photoresist (polymers with photosensitive additives) SAMs	SAMs Unsensitized polymers (epoxy, PU, PMMA, PPV, etc.) Polymer precursors Colloidal materials Sol-gel materials Organic and inorganic salts Biological macromolecules
Minimum feature size	ca. 60 nm	1 - 100 nm

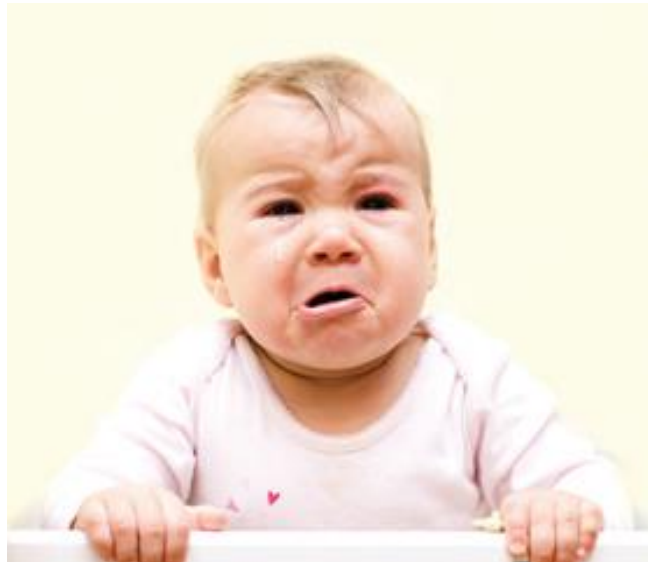
Advantages of Soft Lithography

- Convenient, inexpensive, accessible to chemists, biologists, and material scientists.
- Basis in self-assembly tends to minimize certain types of defects.
- Many soft lithographic processes are additive and minimize waste of materials.
- Isotropic mechanical deformation of PDMS mold or stamp provides routes to complex patterns.
- No diffraction limit; features as small as 30 nm have been fabricated.
- Nonplanar surfaces (lenses) can be used as substrates.
- Generation and replication of 3D topologies or structures are possible.
- Optical transparency of the mask allows through-mask registration and processing.
- Good control over surface chemistry, very useful for interfacial engineering.
- Applicable to manufacturing: production of indistinguishable copies at low cost.



Disadvantages of Soft Lithography

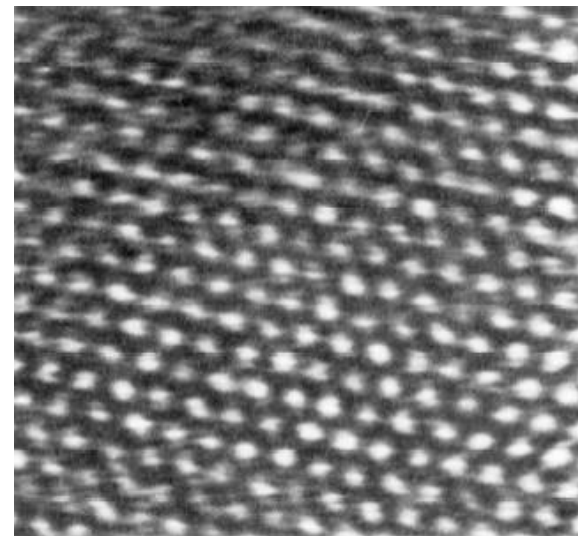
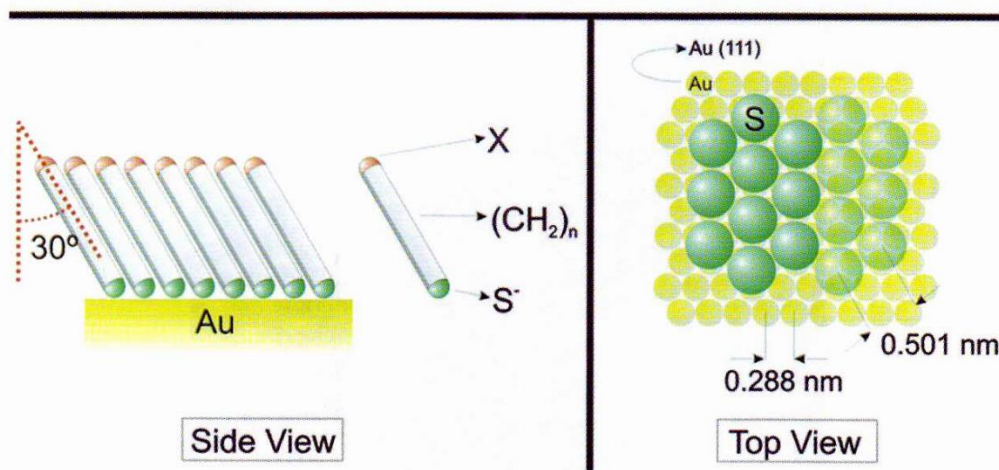
- Patterns in the stamp or mold may distort due to the deformation (peeling, sagging, swelling, and shrinking) of the elastomer used.
- Difficulty in achieving accurate registration with elastomers ($<1\ \mu\text{m}$).
- Compatibility with current integrate-circuit processes and materials must be demonstrated.
- Defect levels higher than photolithography.
- μCP works well with only a limited range of surfaces; MIMIC is slow; REM, μTM , and SAMIM leave a thin film of polymer over the surface.



Self-Assembled Monolayers (SAMs)

Oxidative addition:

Hexanethiolate SAM On Au (111)



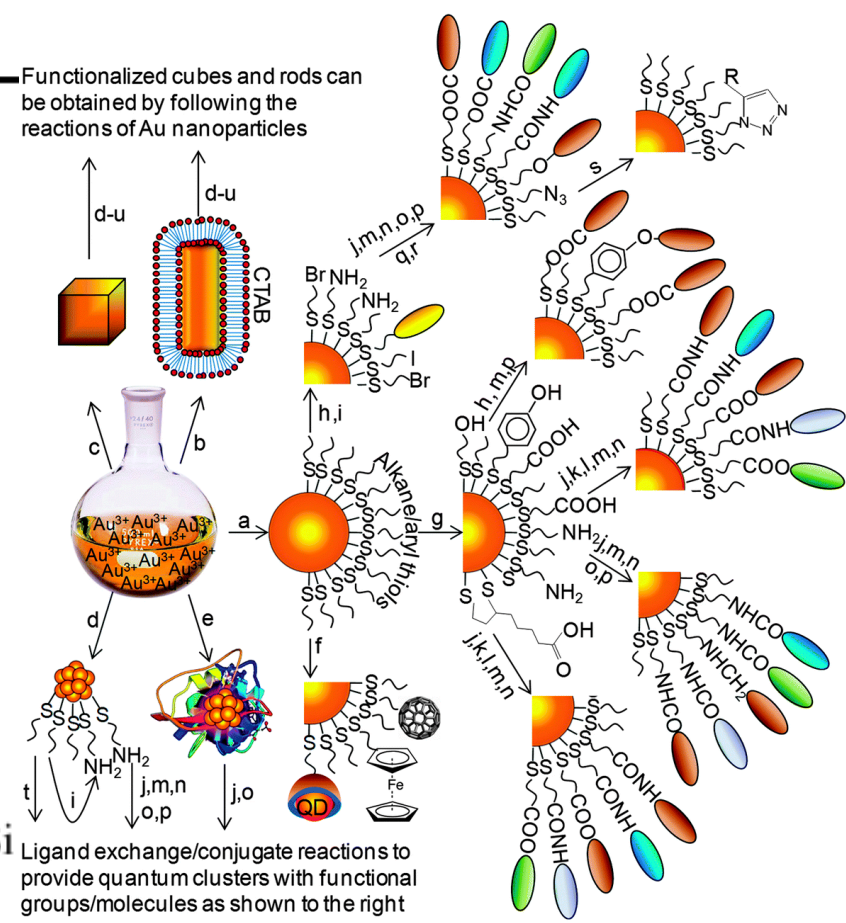
(J. Am. Chem. Soc. 114, 1222, 1992)

- The real power of SAMs stems from the ability to chemically tailor the terminal X groups of the alkanethiolate.
- Surface organic chemistry allows controlling surface wettability, adhesion, corrosion, etch protection, chemical and electrochemical reactivity, etc.

Substrates and Ligands that Form SAMs

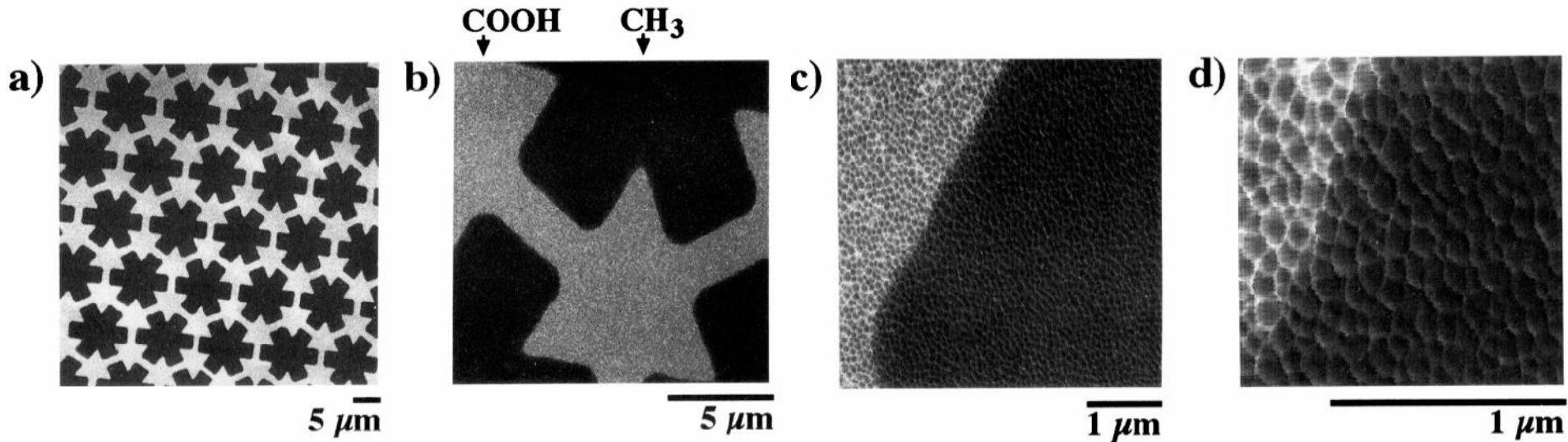
Substrate	Ligand or Precursor	Binding
Au	RSH, ArSH (thiols)	RS-Au
Au	RSSR' (disulfides)	RS-Au
Au	RSR' (sulfides)	RS-Au
Au	RSO ₂ H	RSO ₂ -Au
Au	R ₃ P	R ₃ P-Au
Ag	RSH, ArSH	RS-Ag
Cu	RSH, ArSH	RS-Cu
Pd	RSH, ArSH	RS-Pd
Pt	RNC	RNC-Pt
GaAs	RSH	RS-GaAs
InP	RSH	RS-InP
SiO ₂ , glass	RSiCl ₃ , RSi(OR') ₃	siloxane
Si/Si-H	(RCOO) ₂ (neat)	R-Si
Si/Si-H	RCH=CH ₂	RCH ₂ CH ₂ Si
Si/Si-Cl	RLi, RMgX	R-Si
metal oxides	RCOOH	RCOO ⁻ ... MO _n
metal oxides	RCONHOH	RCONHOH ... MO _n
ZrO ₂	RPO ₃ H ₂	RPO ₃ ²⁻ ... Zr ^{IV}
In ₂ O ₃ /SnO ₂ (ITO)	RPO ₃ H ₂	RPO ₃ ²⁻ ... M ⁿ⁺

Functionalized cubes and rods can be obtained by following the reactions of Au nanoparticles



SAMs with Different Head Groups

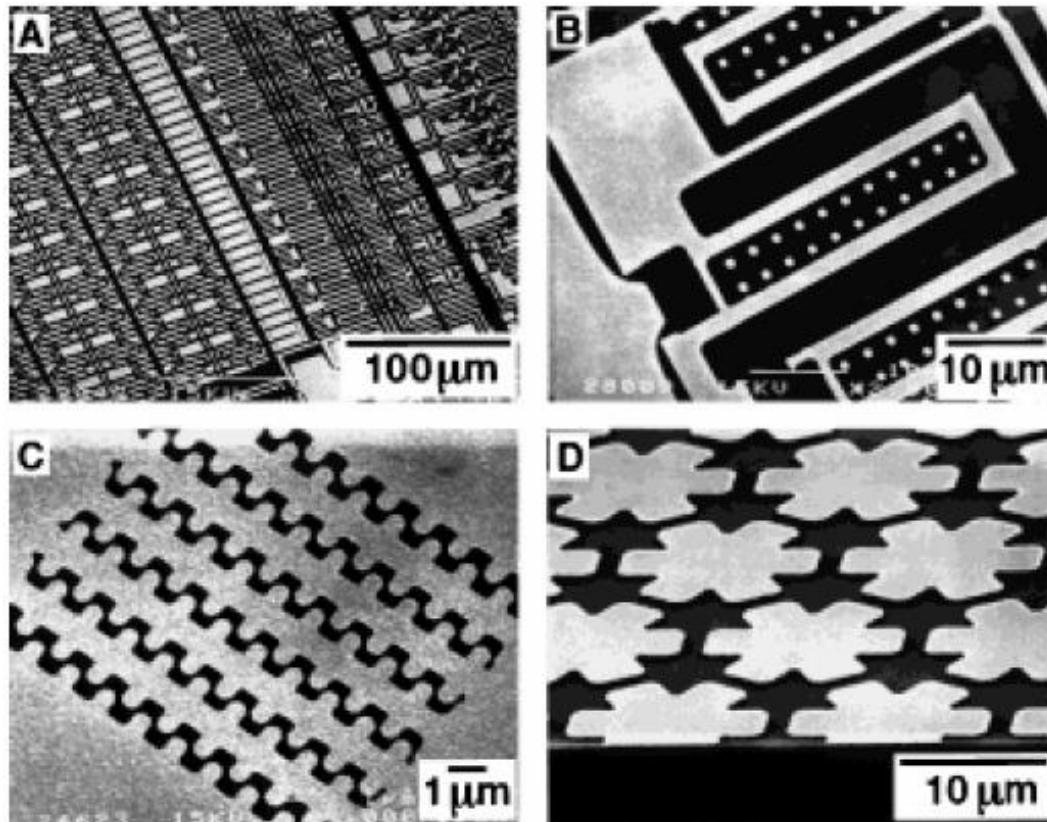
Lateral Force Microscope (Contact Mode AFM)



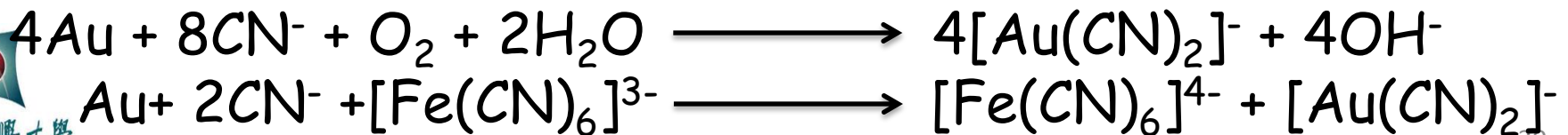
- $\mu\text{CPed HS}(\text{CH}_2)_{15}\text{CH}_3$ followed by adsorption of $\text{HS}(\text{CH}_2)_{15}\text{COOH}$.
- The contrast arose from differences in the fractional force between the AFM tip and the surface in each region.



Patterned SAMs as Ultrathin Resists in Selective Wet Etching

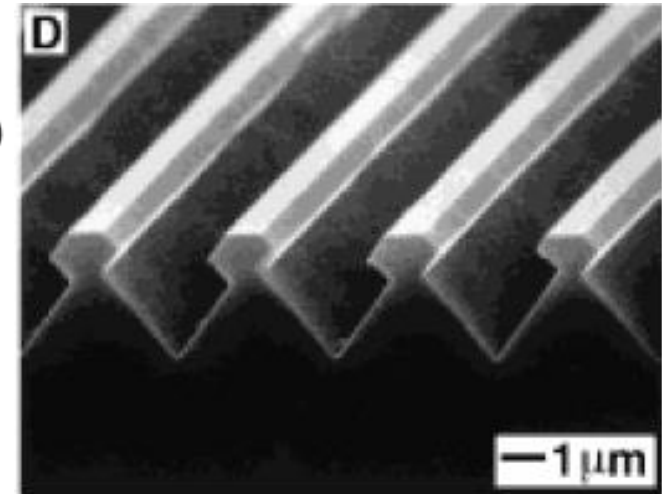


Representative Au wet-etch chemistry:



Etchants Used with Patterned SAMs

Surface	SAM	Etchant (approximate pH)
Au	RS ⁻	K ₂ S ₂ O ₃ /K ₃ [Fe(CN) ₆]/K ₄ [Fe(CN) ₆] (14) KCN/O ₂ (14) CS(NH ₂) ₂ /H ₂ O ₂ (1)
Ag	RS ⁻	Fe(NO ₃) ₃ (6) K ₂ S ₂ O ₃ /K ₃ [Fe(CN) ₆]/K ₄ [Fe(CN) ₆] (7) NH ₄ OH/K ₃ [Fe(CN) ₆]/K ₄ [Fe(CN) ₆] (12) NH ₄ OH/H ₂ O ₂ (12) NH ₄ OH/O ₂ (12) KCN/O ₂ (14)
Cu	RS ⁻	FeCl ₃ /HCl (1) FeCl ₃ /NH ₄ Cl (6) H ₂ O ₂ /HCl (1)
GaAs	RS ⁻	HCl/HNO ₃ (1)
Pd	RS ⁻	HCl/HNO ₃ (1)
Al	RPO ₃ ²⁻	HCl/HNO ₃ (1)
Si/SiO ₂	RSiO _{3/2} ^[a]	HF/NH ₄ F (2)
glass	RSiO _{3/2} ^[a]	HF/NH ₄ F (partially selective)



Anisotropic etching of Si (100)

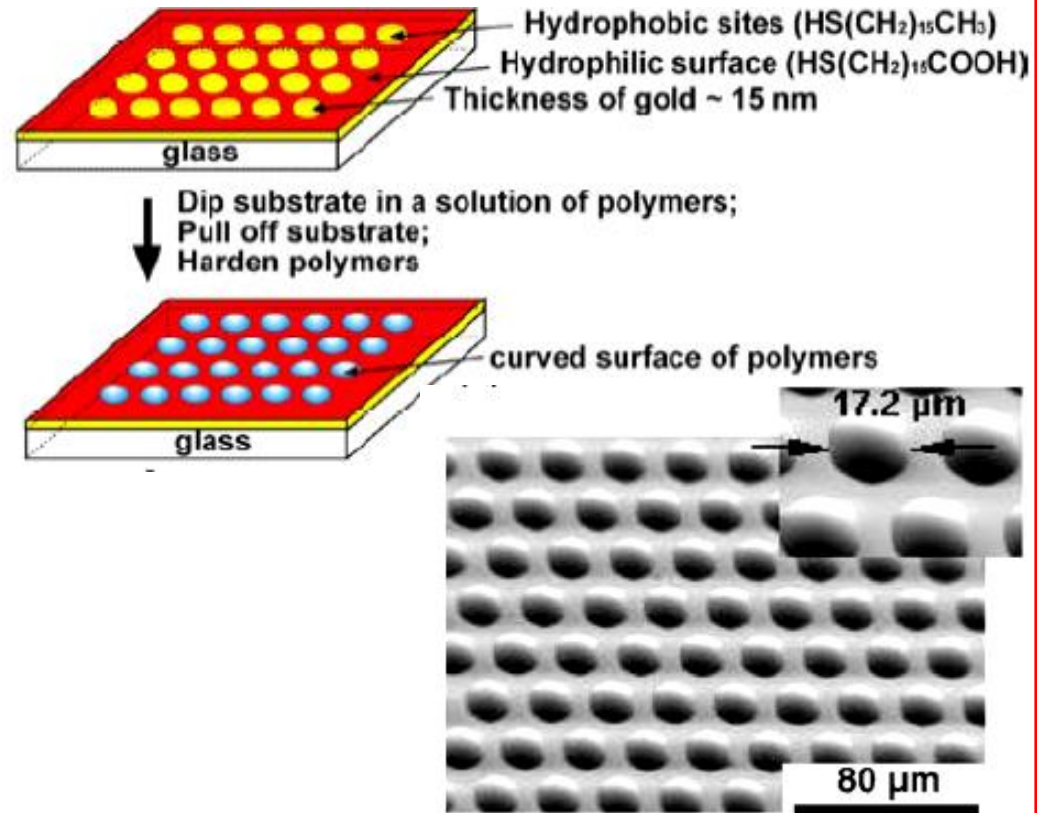
Patterning Wettability

Condensation Figures



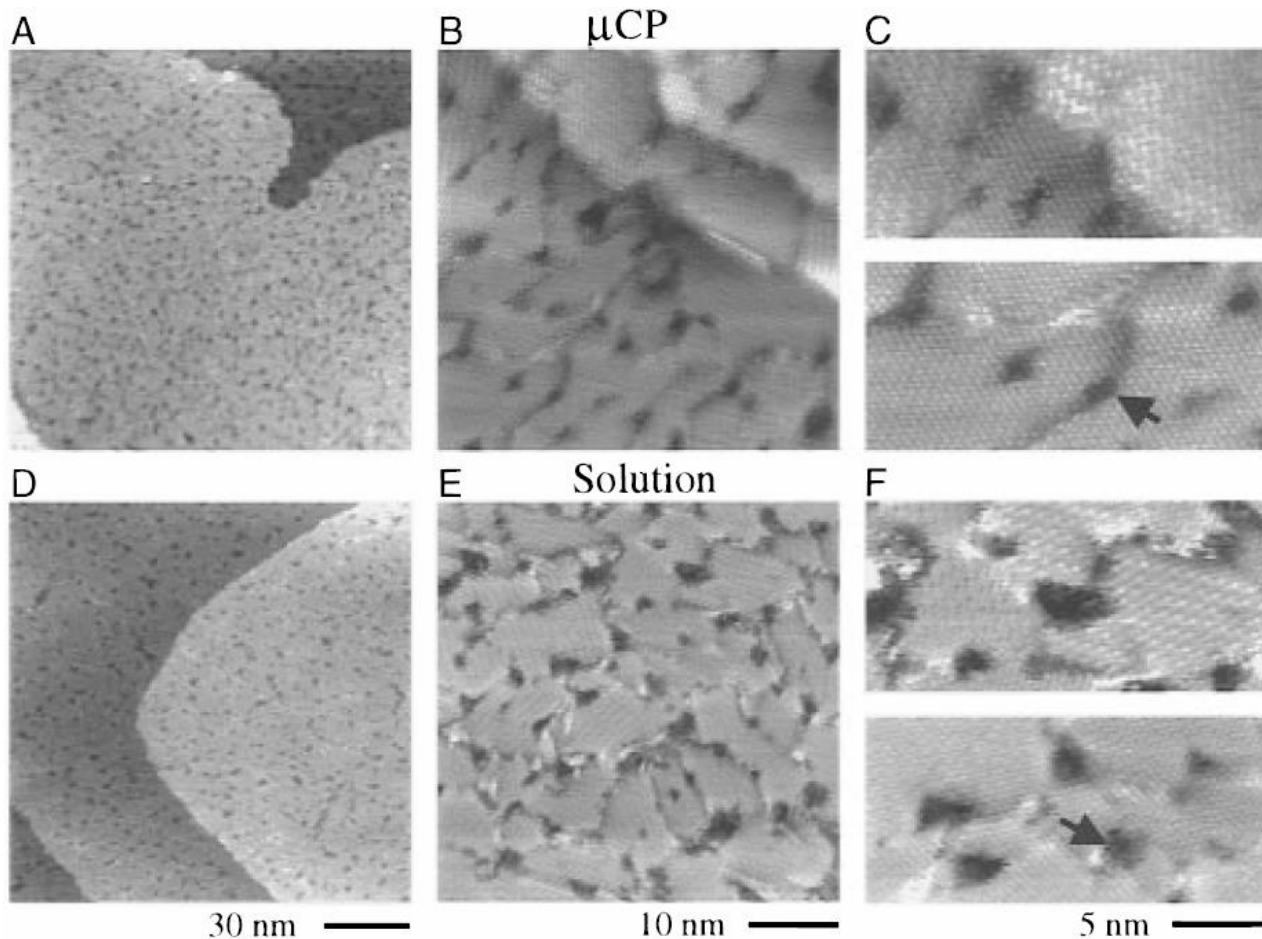
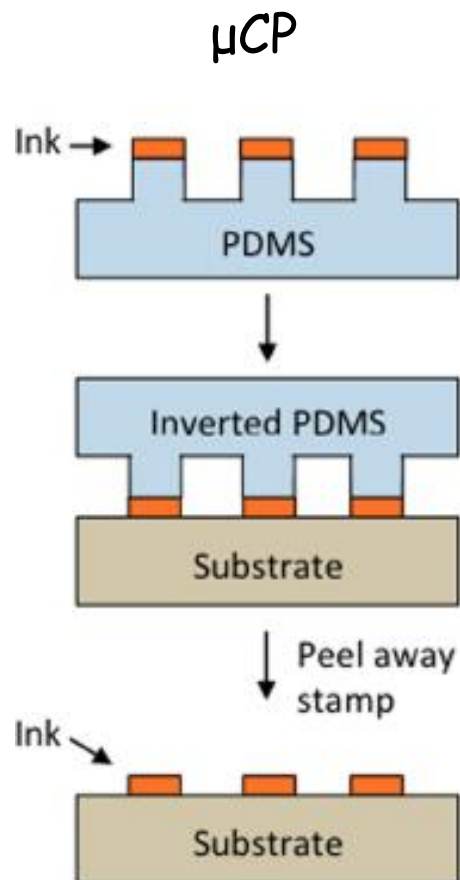
Square drops of water held by surrounding hydrophobic

Microlens Arrays



Polyurethane precursors selectively wet patterned hydrophobic regions

SAMs Formed by μ CP vs. Adsorption



Similarity between μ CP and adsorption from solution.

(*J. Am. Chem. Soc.* 119, 3017, 1997)



μ CP vs. other SAMs Patterning Techniques

Technique	SAMs	Resolution
microcontact printing (μ CP)		
	RSH/Au	35 nm
	RSH/Ag	100 nm
	RSH/Cu	500 nm
	RSH/Pd	500 nm
	RPO ₃ H ₂ /Al	500 nm
	siloxane/SiO ₂	500 nm
photooxidation	RSH/Au	10 μ m
photo-cross-linking	RSH/Au	10 μ m
photoactivation	RSH/Au	10 μ m
	siloxane/glass	10 μ m
photolithography/plating	siloxane/SiO ₂	500 nm
electron-beam writing	RSH/Au	75 nm
	RSH/GaAs	25 nm
	siloxane/SiO ₂	5 nm
focused ion beam writing	RSH/Ag	10 μ m
neutral metastable atom writing	RSH/Au	70 nm
	siloxane/SiO ₂	70 nm
SPM lithography	RSH/Au	10 nm
micromachining	RSH/Au	100 nm
micropen writing	RSH/Au	10 μ m



Invisible Materials



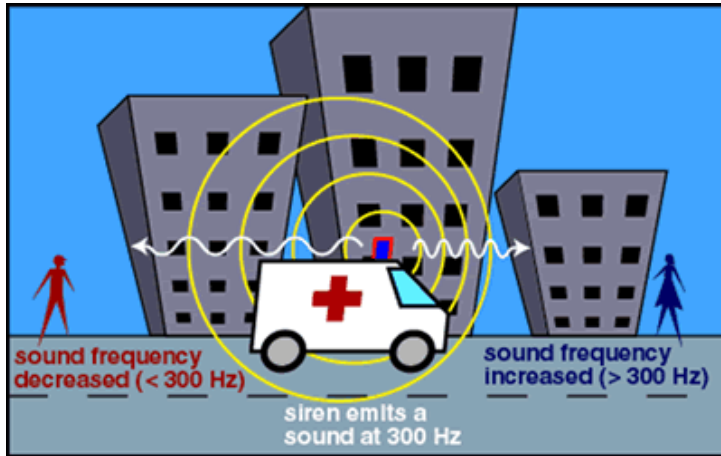
på hela världen at



Vi har flyttat 121 meter ditåt

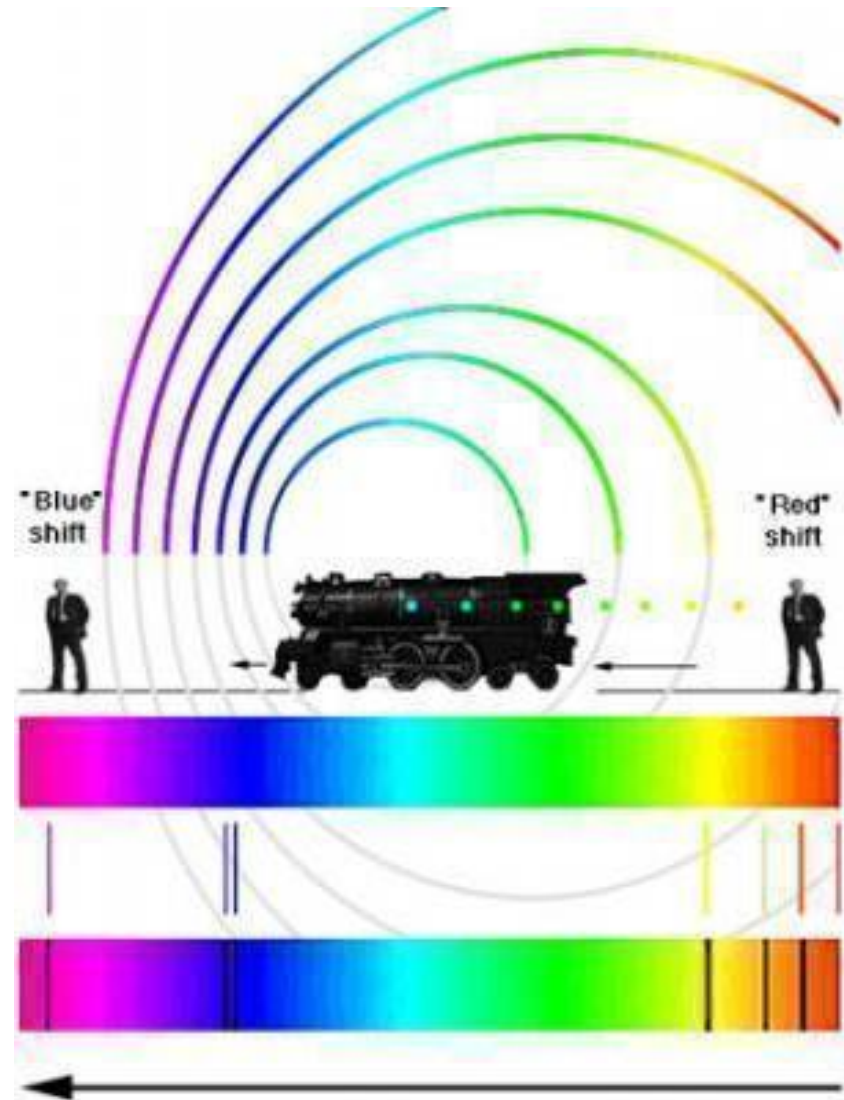
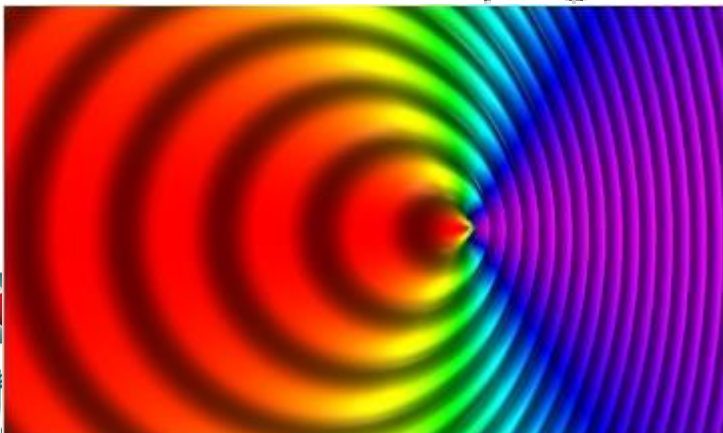


Doppler Effect



Doppler Effect

$$f_o = f_s \left(\frac{v \pm v_o}{v \mp v_s} \right)$$

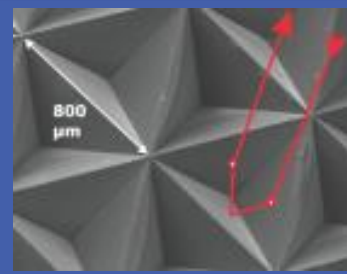


Run! Run! The Flash Run!



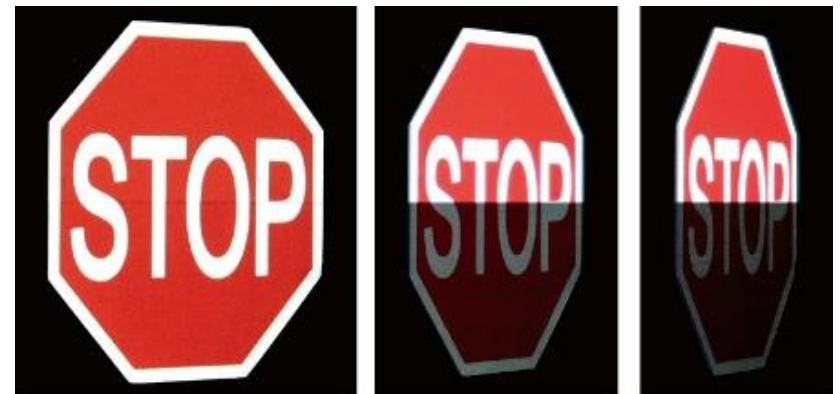
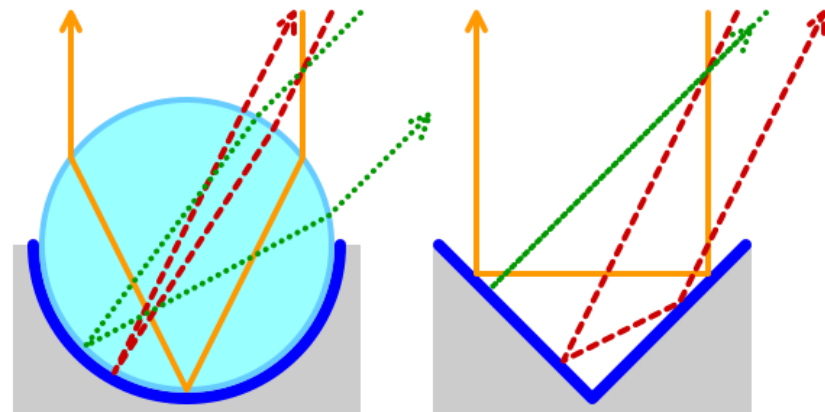
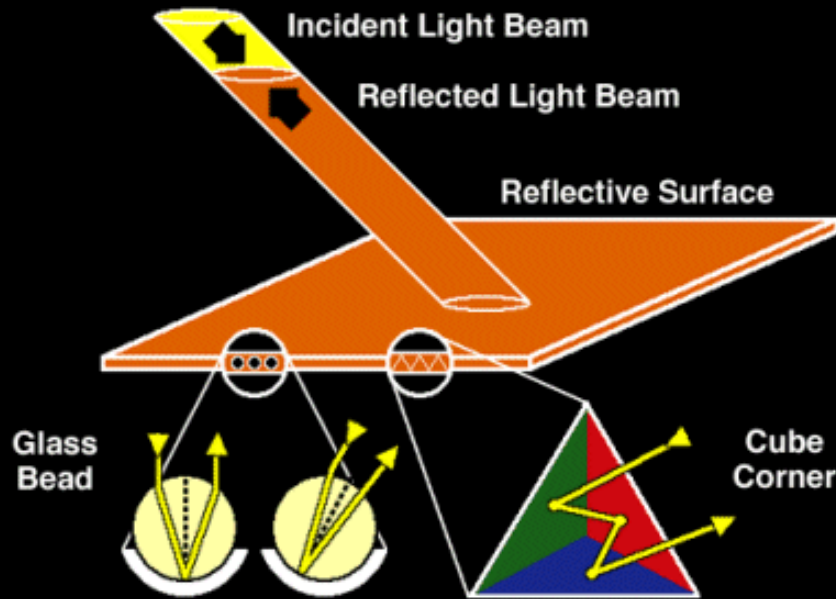


Retroreflective Coatings

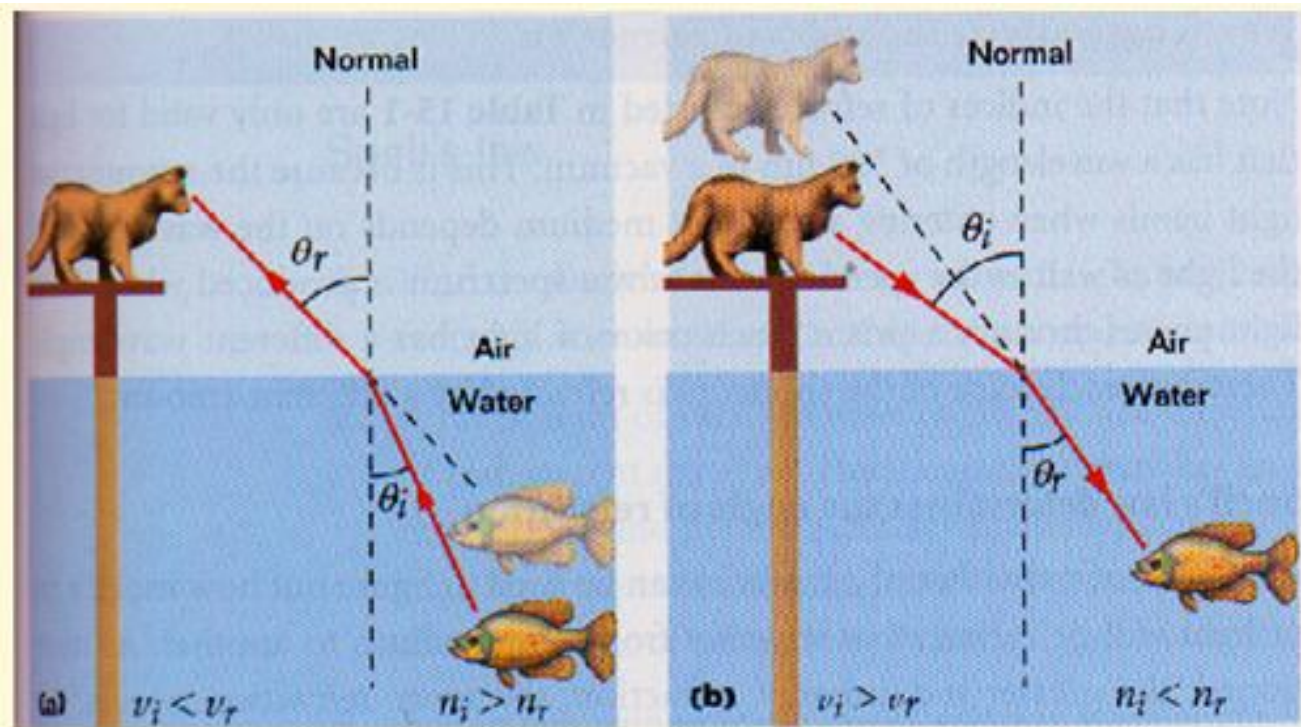


Retroreflection Mechanism

Retroreflective sheetings use tiny glass beads or cube corner elements to reflect light.

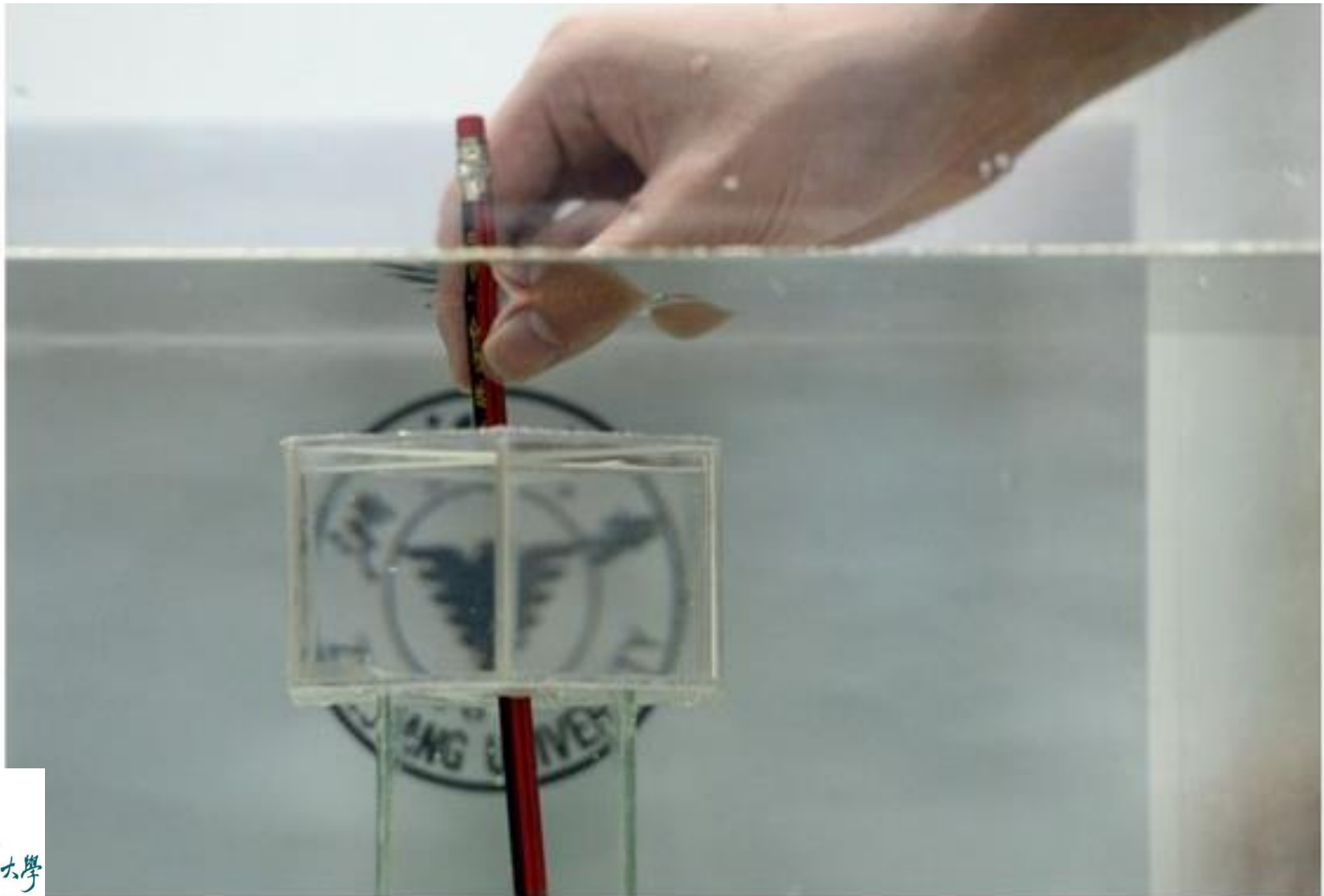


The Cat and The Fish

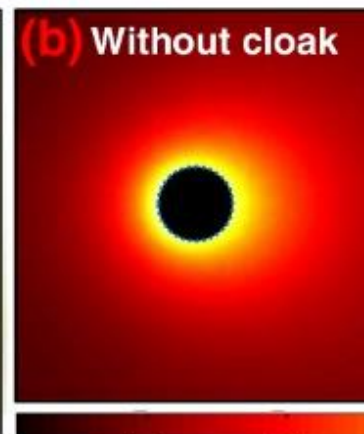
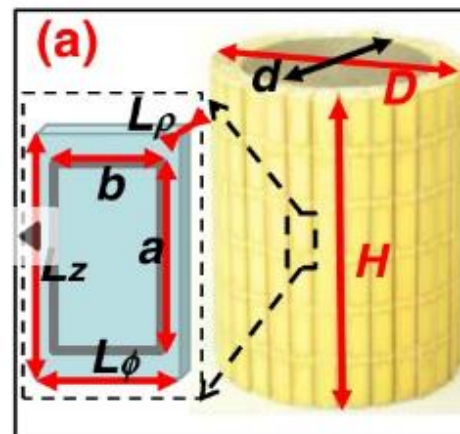
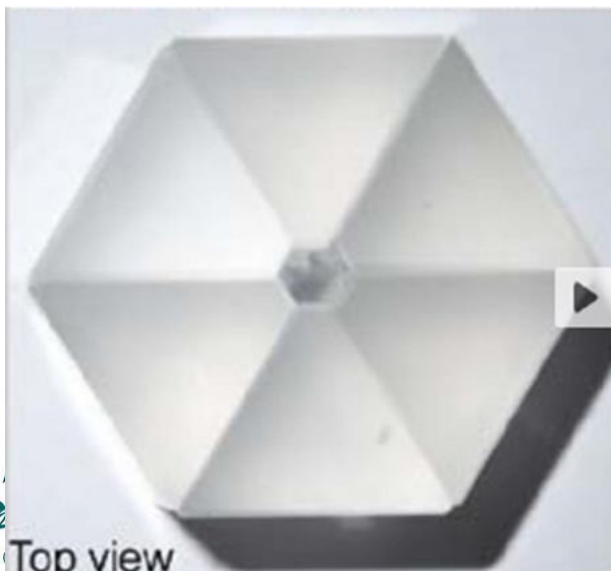
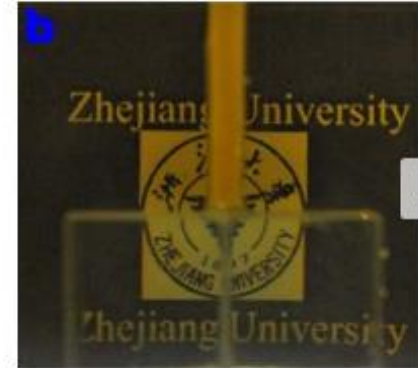
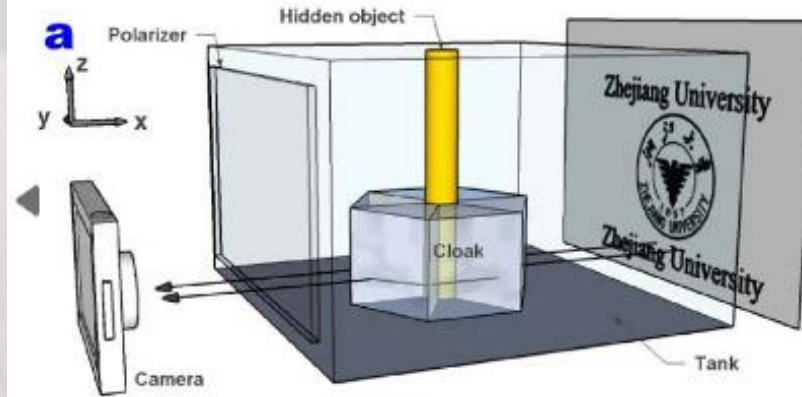


The light is refracted toward the normal when it passes into a denser medium.

Invisible Cloak by Zhejiang University

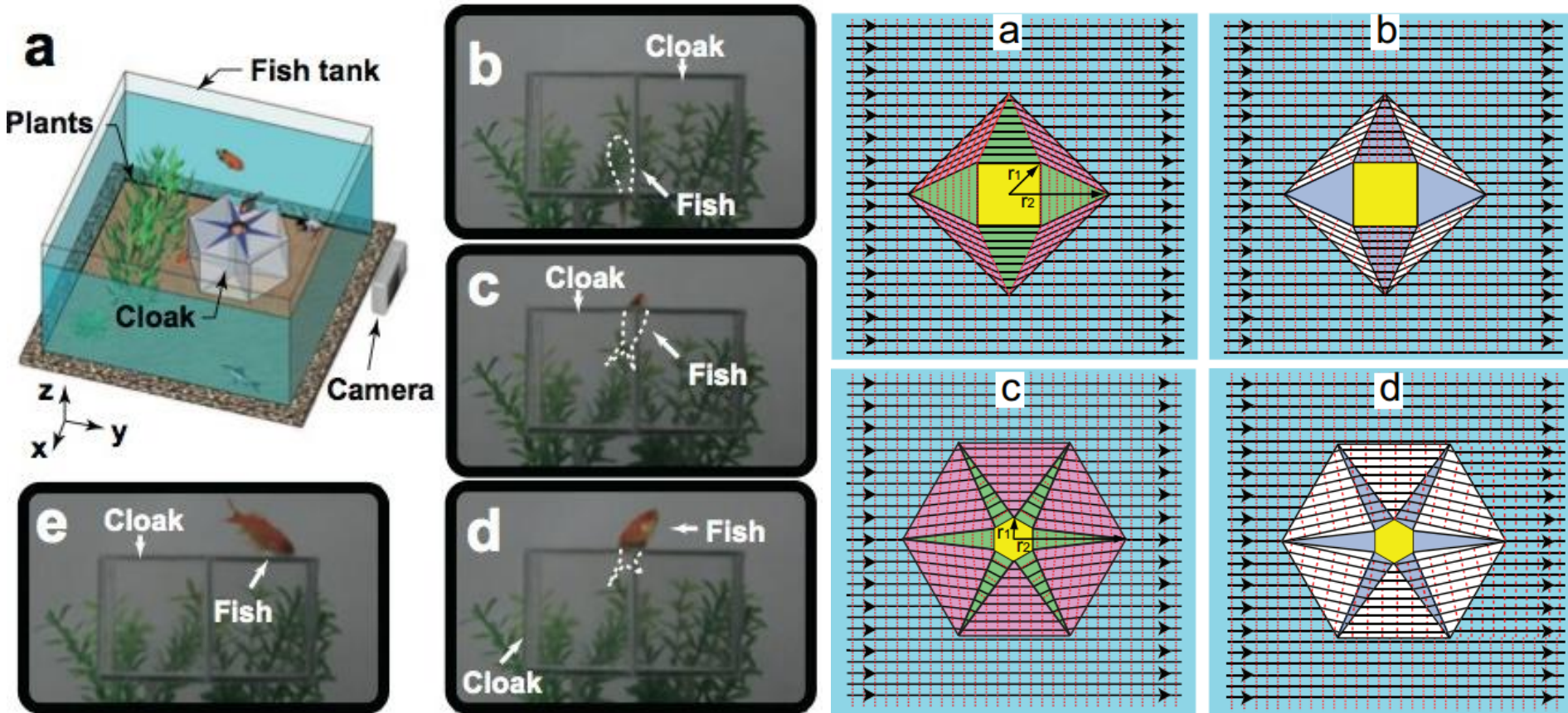


Invisible Cloak by Zhejiang University



0.2 0.4 0.6 0.8 1

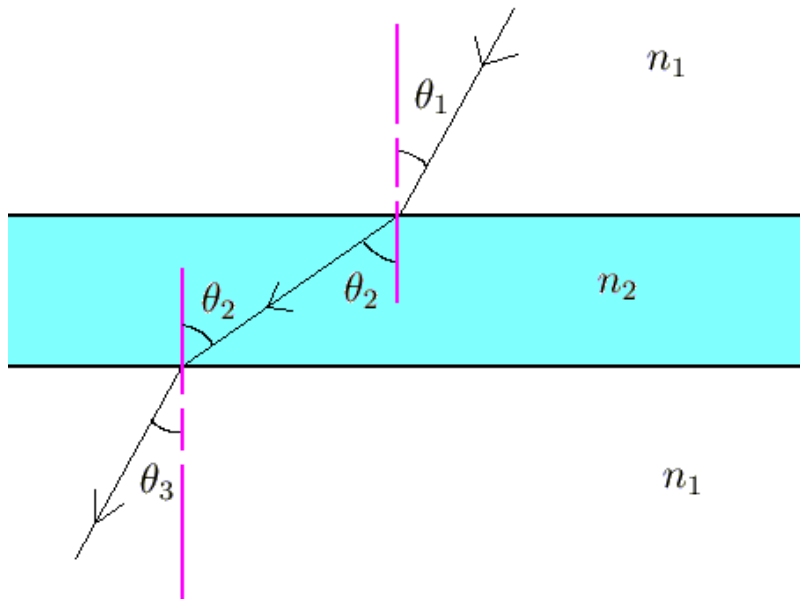
Invisible Cloak by Zhejiang University



Snell's Law of Refraction

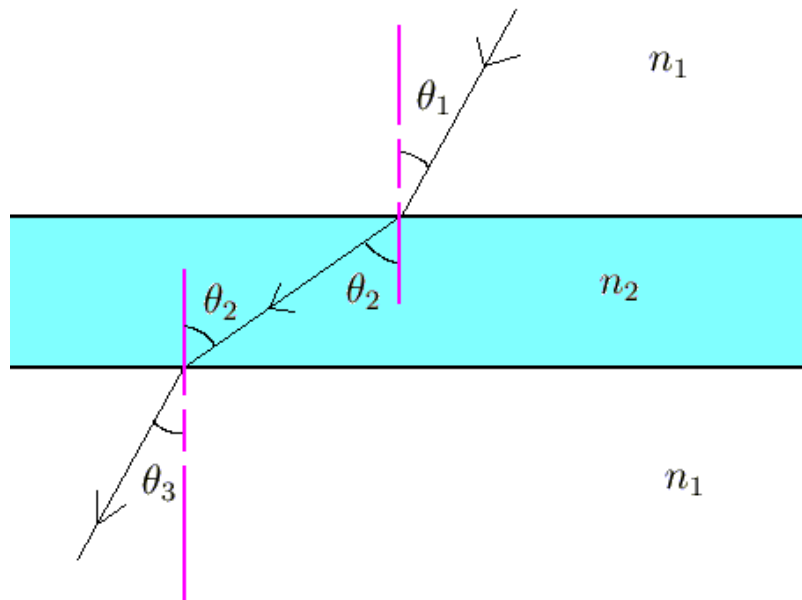
Snell's Law of Refraction

$$n_1 \sin\theta_1 = n_2 \sin\theta_2$$



Invisible Cloak Effect

What happens if " n_2 " is < 0 ???



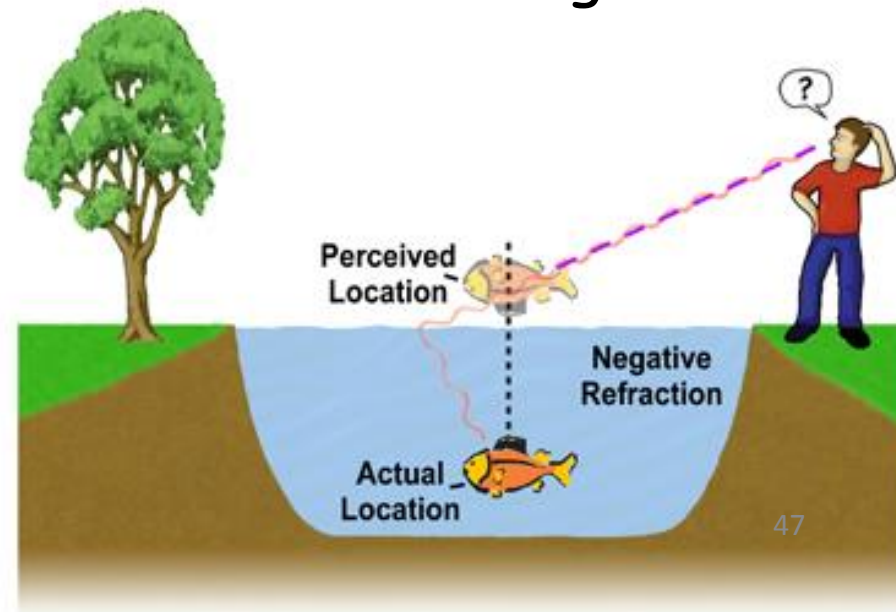
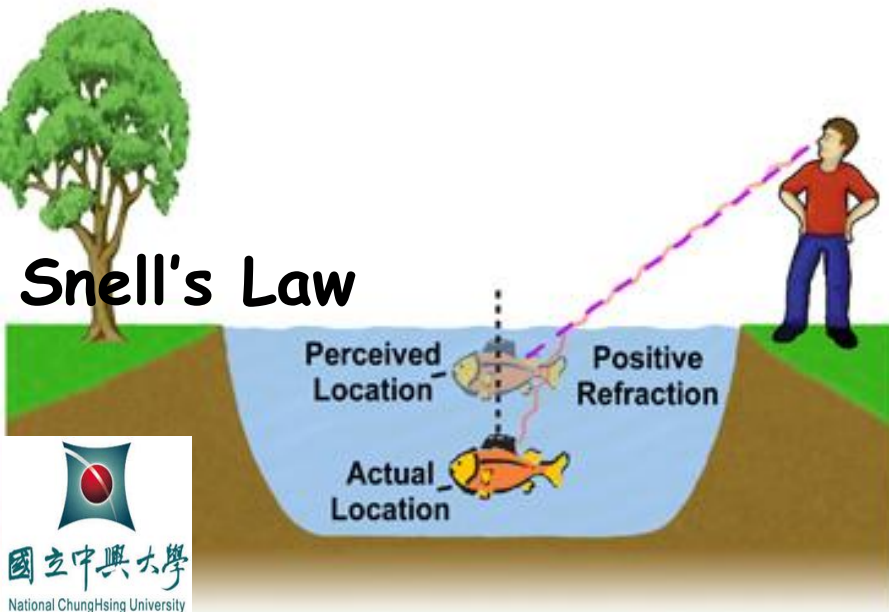
Harry Potter's invisible cloak



Metamaterials

Negative Refractive Index (n is < 0)

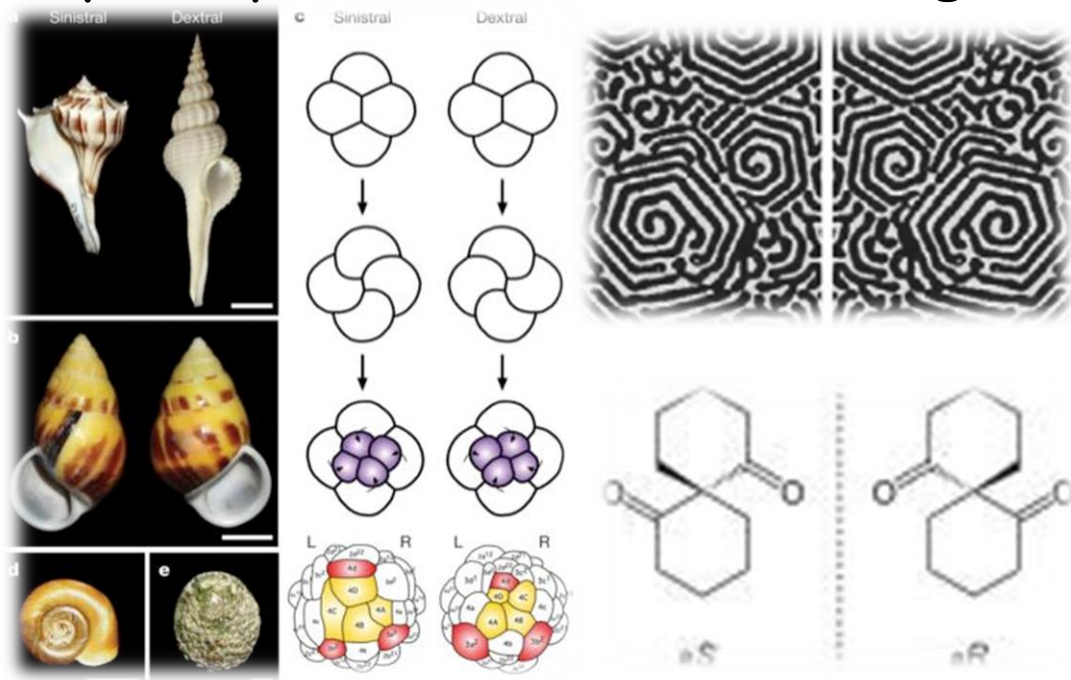
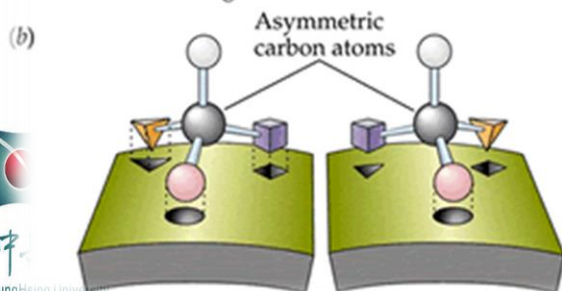
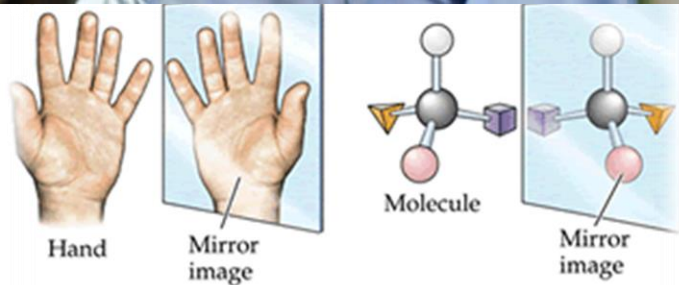
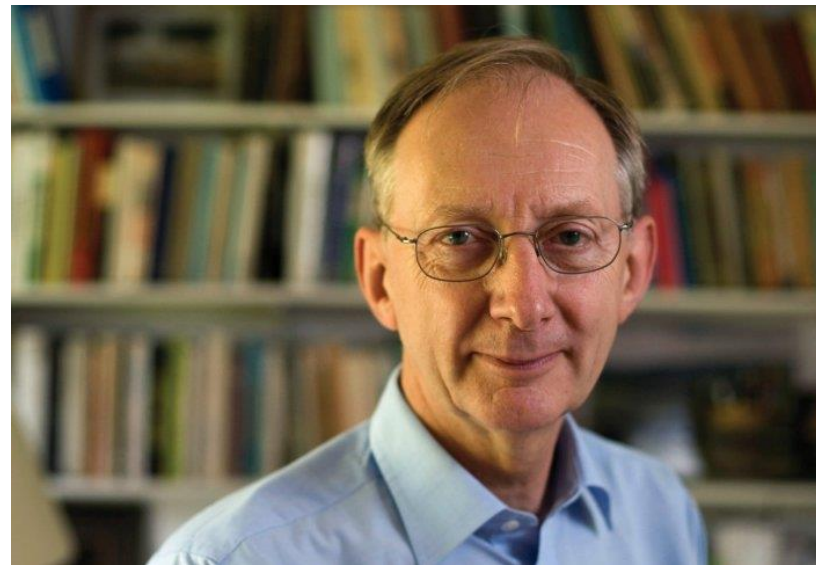
1. Two components: (S. Tretyakov)
 - 1) Negative permittivity
 - 2) Negative permeability
2. Novel metals based chiral structures (J. Pendry)
3. Applications:
Invisible cloak; Invisible vehicles; Invisible coatings



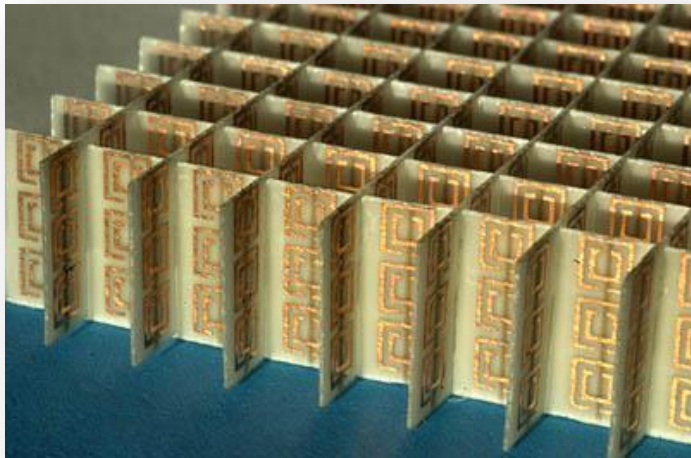
Chiral Structures

Newton Medal Winner (2013)
John Pendry, Imperial College London

Chirality:
An object that can not be superimposed on its mirror image



Metamaterials by Mask Etching

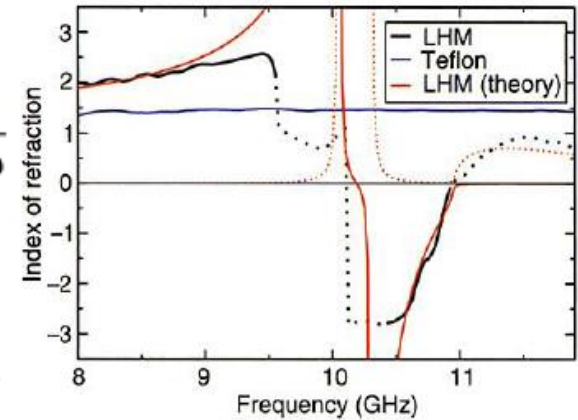


Permeability

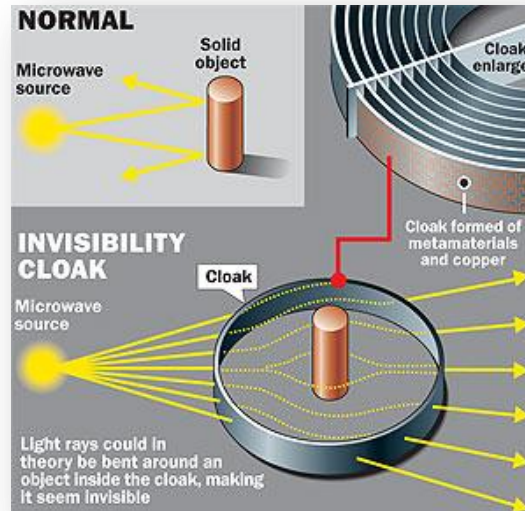
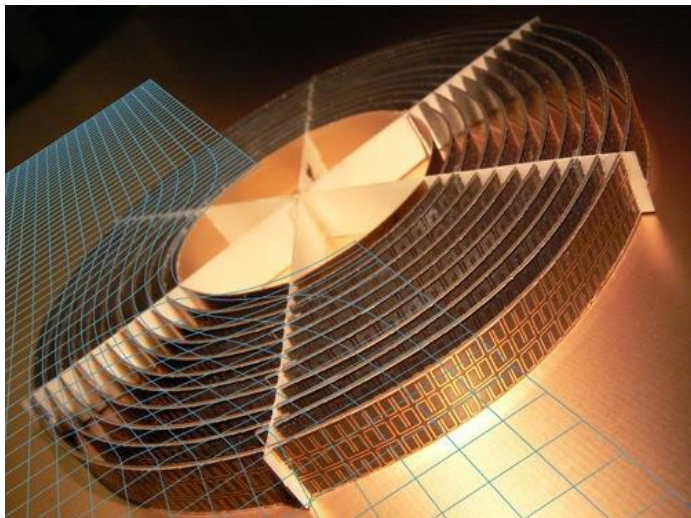
$$\frac{\mu(\omega)}{\mu_0} = 1 - \frac{\omega_{mp}^2 - \omega_{mo}^2}{\omega^2 - \omega_{mo}^2 + i\gamma\omega}$$

Permittivity

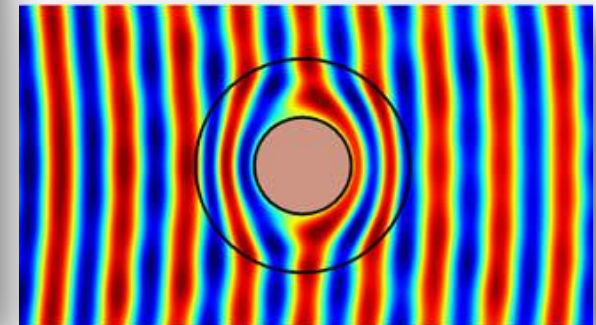
$$\frac{\epsilon(\omega)}{\epsilon_0} = 1 - \frac{\omega_{cp}^2 - \omega_{co}^2}{\omega^2 - \omega_{co}^2 + i\gamma\omega}$$



Copper mm-sized split rings

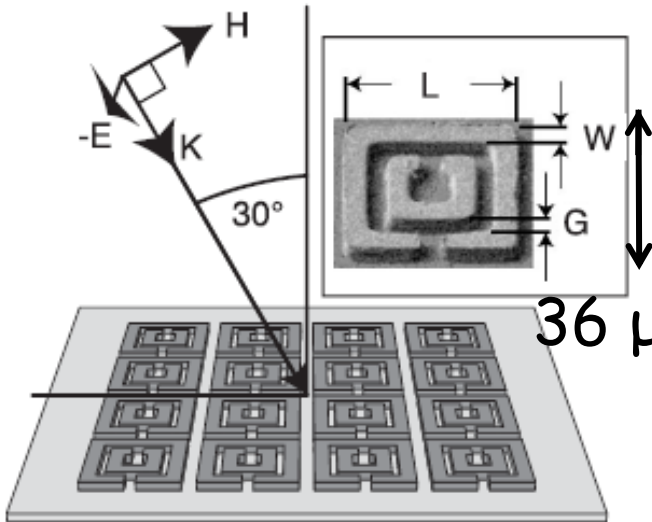


1. Microwave Region (>100 micrometer)
2. GHz



D. Smith et al., *Science*, 292, 77 (2001)

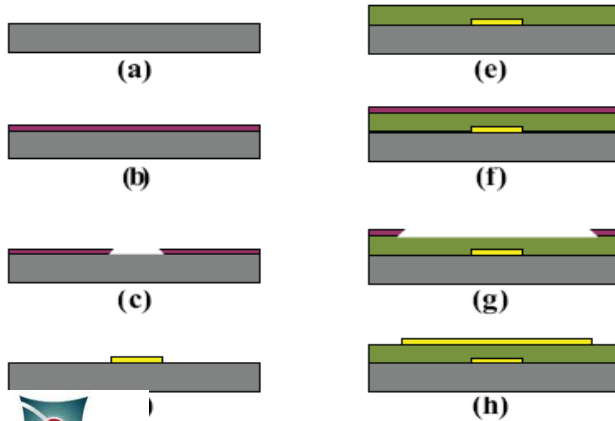
Micrometer Scale Metamaterials



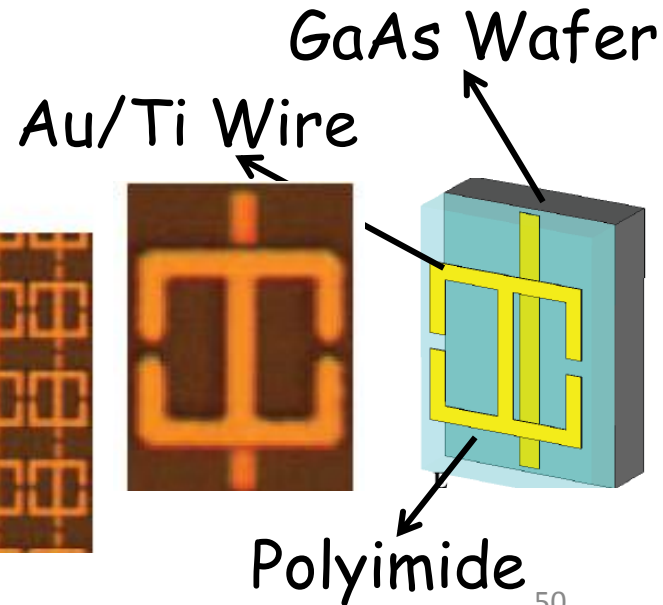
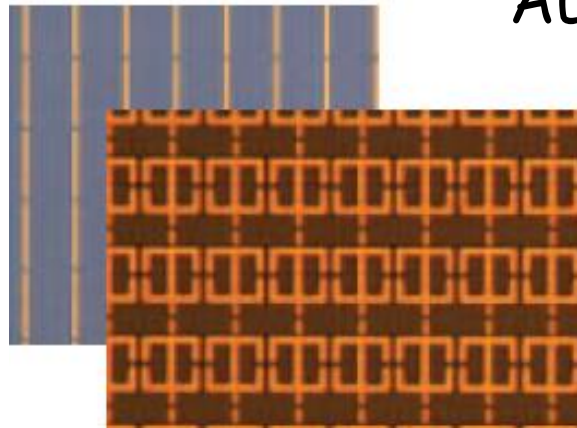
1. Negative reflective index at near-IR region.
2. THz
3. Small features results in higher frequency & narrowband wavelength.



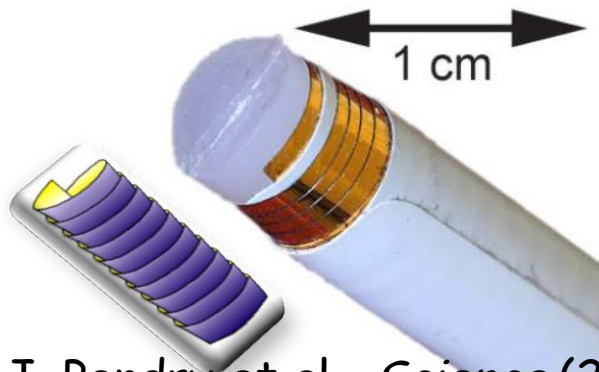
36 μm



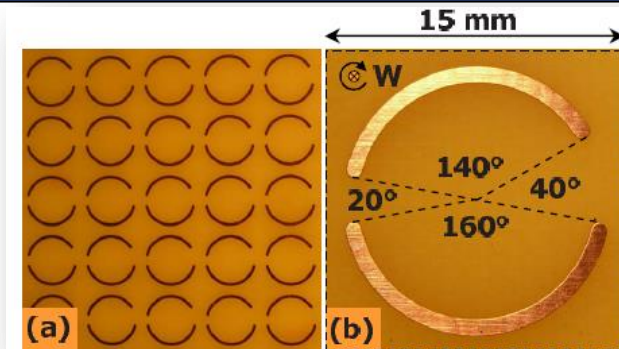
■ AZ5214 photoresist ■ Au/Ti ■ PI-5878G polyimide



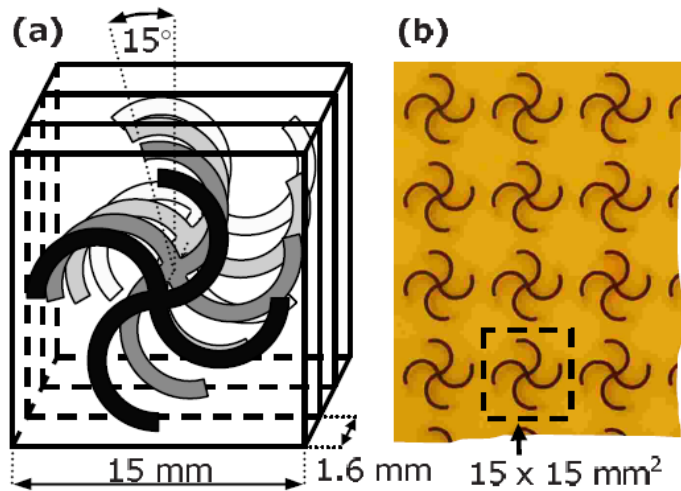
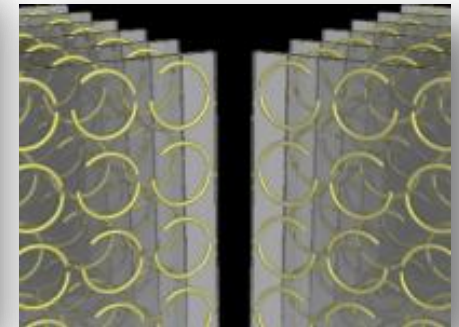
Spiral Metamaterials



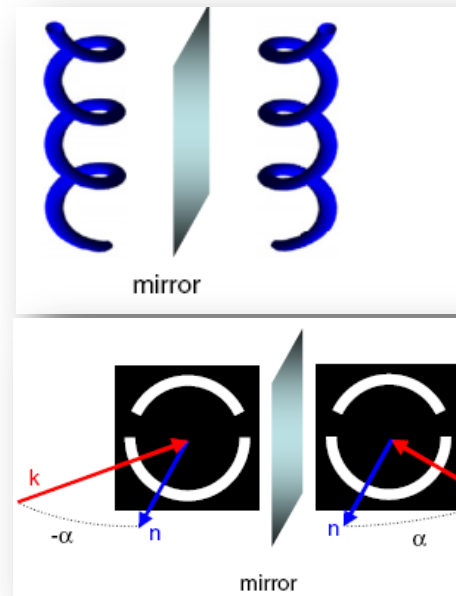
J. Pendry et al., *Science* (2004)



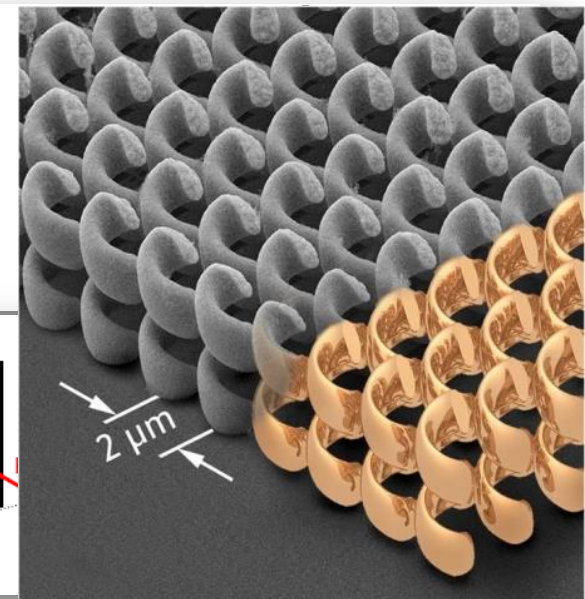
N. Zheludev et al., *Appl. Phys. Lett.* (2009)



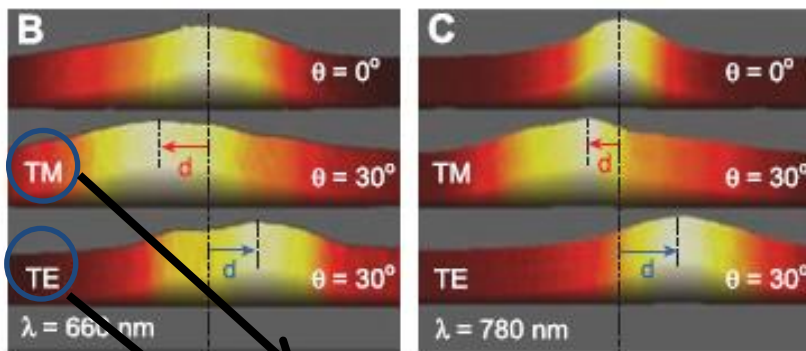
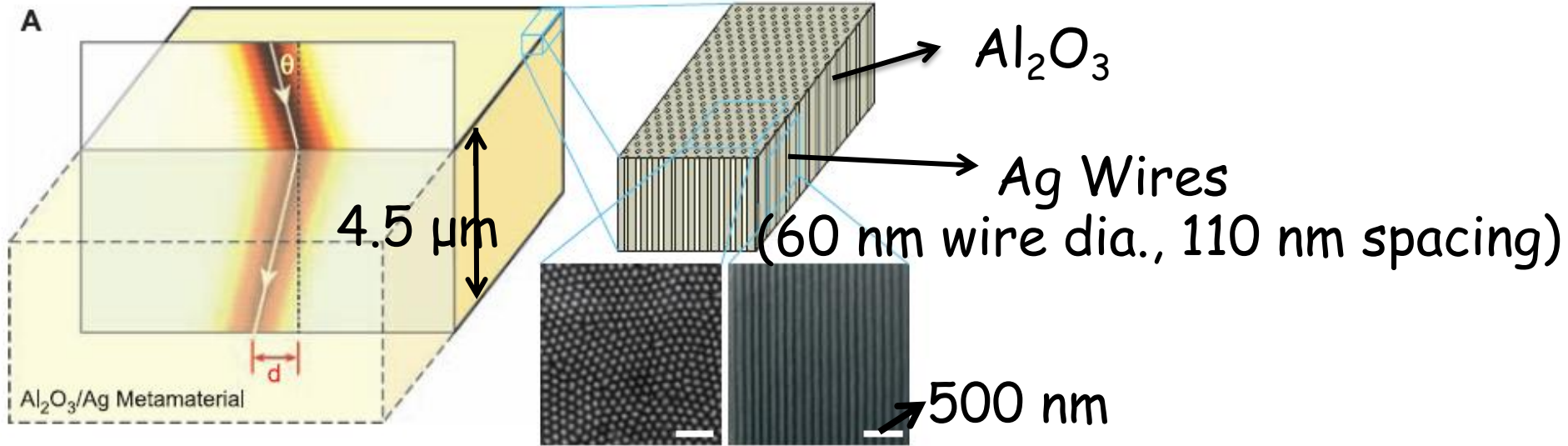
N. Zheludev et al., *Phys. Rev.* (2007)



E. Plum et al., *J. Opt.* (2008)



Metamaterials by Anodization

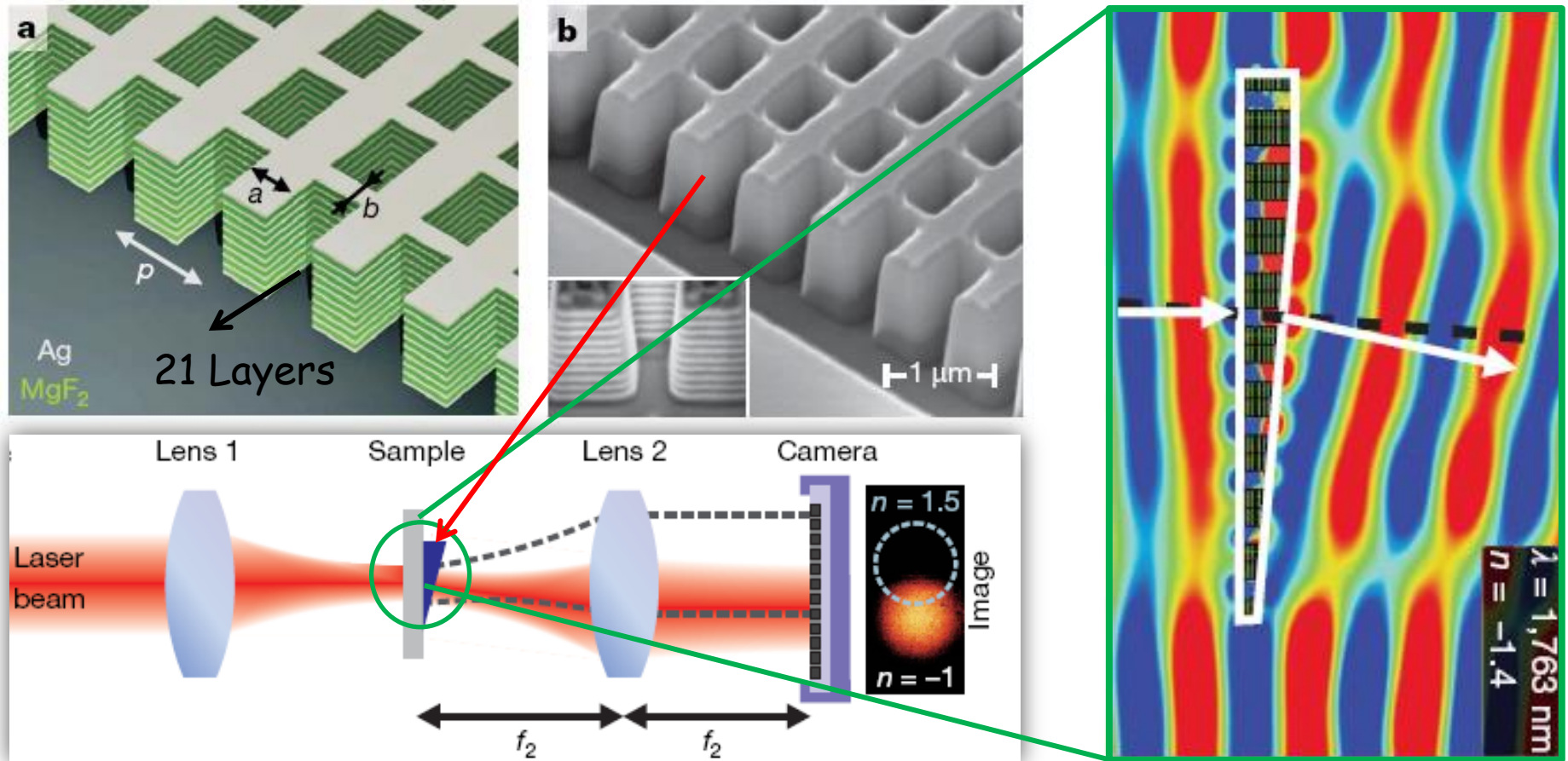


transverse magnetic
transverse electric

1. Negative R. I. at IR Region
2. Limitations
 - 1) 2 D
 - 2) Strong energy losses
 - 3) Fabrication difficult
 - 4) Limit band of frequency
 - 5) Angle dependent



Metamaterials by FIB Milling 1

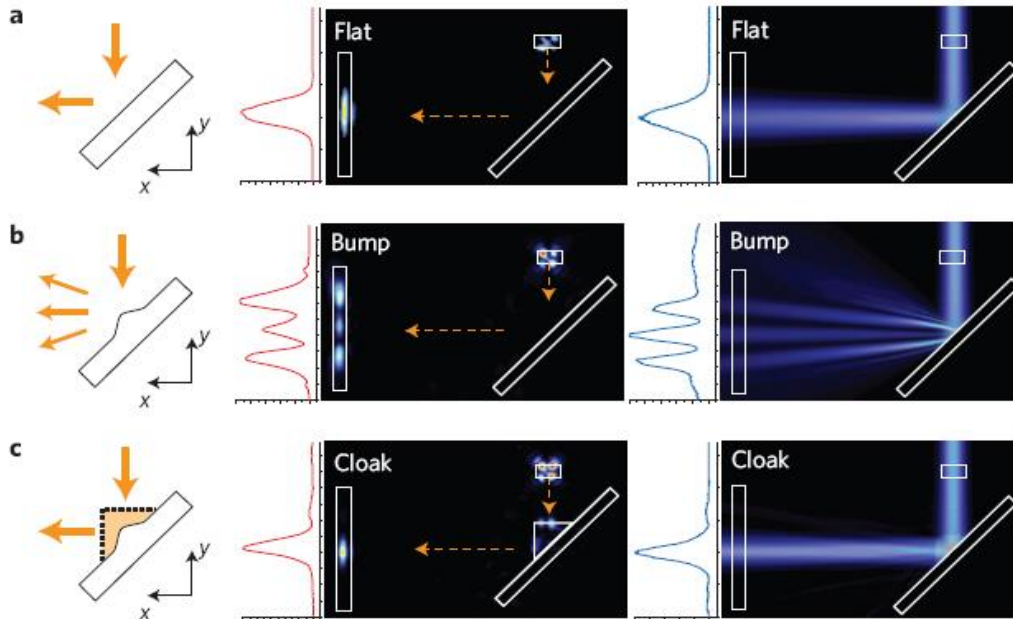
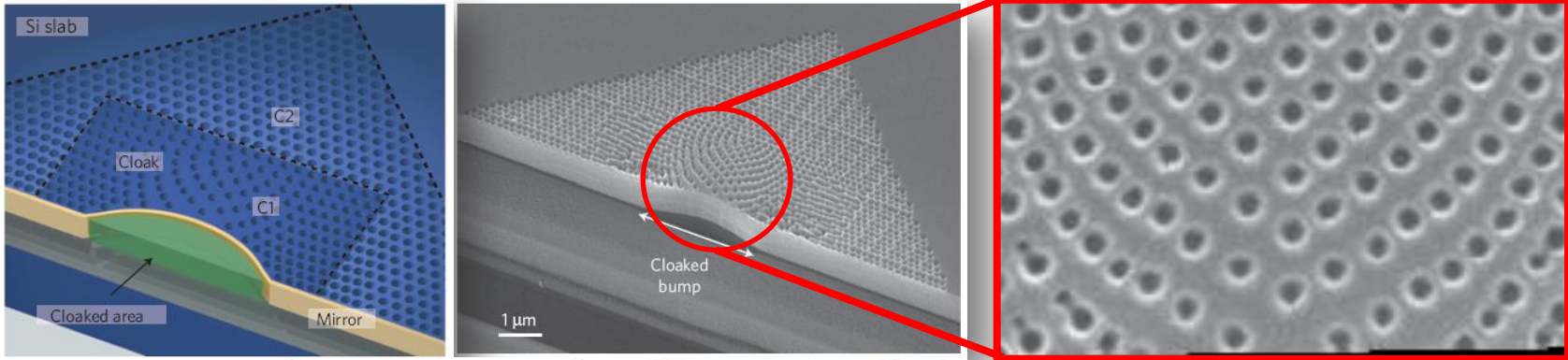


1. 3D structures,
2. R. I. shifts in microwave region (Fabry-Pe' rot effect).

X. Zhang et al., *Nature*, 07247, 1 (2008)

Metamaterials by FIB Milling 2

Imitate reflection of flat surface on dielectric substrate

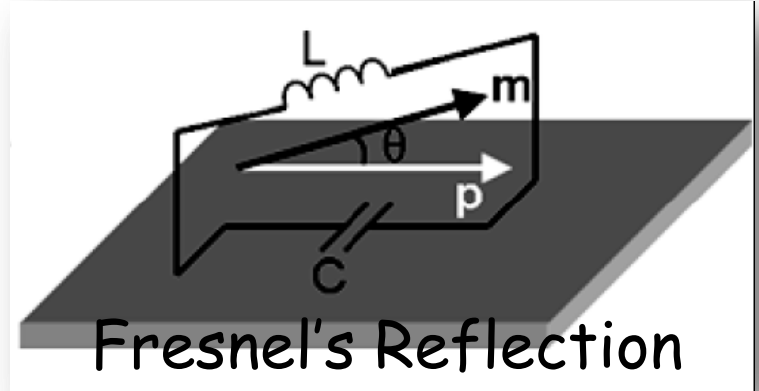
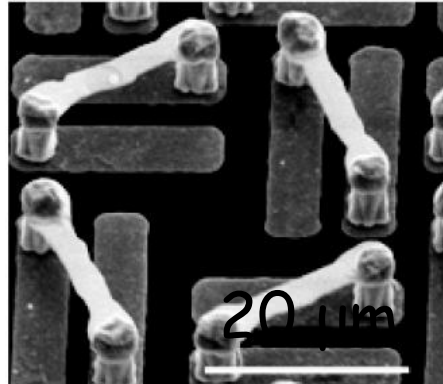
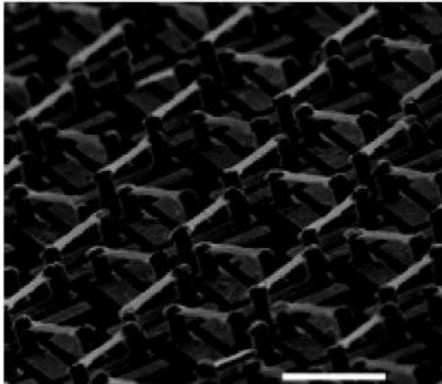


1. Hole (110 nm) arrays
2. Low energy loss
3. Broadband wavelength (1400 nm ~ 1800 nm)



X. Zhang et al., *Nature Materials*, 8, 568 (2009)

Circularly Polarized Wave Metamaterials



$$\begin{pmatrix} D_x \\ D_y \end{pmatrix} = \epsilon_0 \epsilon \begin{pmatrix} E_x \\ E_y \end{pmatrix} + \frac{i}{c_0} \begin{pmatrix} \xi & \xi_{12} \\ -\xi_{12} & \xi \end{pmatrix} \begin{pmatrix} H_x \\ H_y \end{pmatrix},$$

$$\begin{pmatrix} B_x \\ B_y \end{pmatrix} = \frac{i}{c_0} \begin{pmatrix} -\xi & \xi_{12} \\ -\xi_{12} & -\xi \end{pmatrix} \begin{pmatrix} E_x \\ E_y \end{pmatrix} + \mu_0 \mu \begin{pmatrix} H_x \\ H_y \end{pmatrix},$$

$$(n_{L/R} \mp \xi)^2 = \epsilon \mu - \xi_{12}^2,$$

- Permittivity
- Permeability
- Refractive index

